



*Electron screening:
answer to an old problem
from a new perspective*

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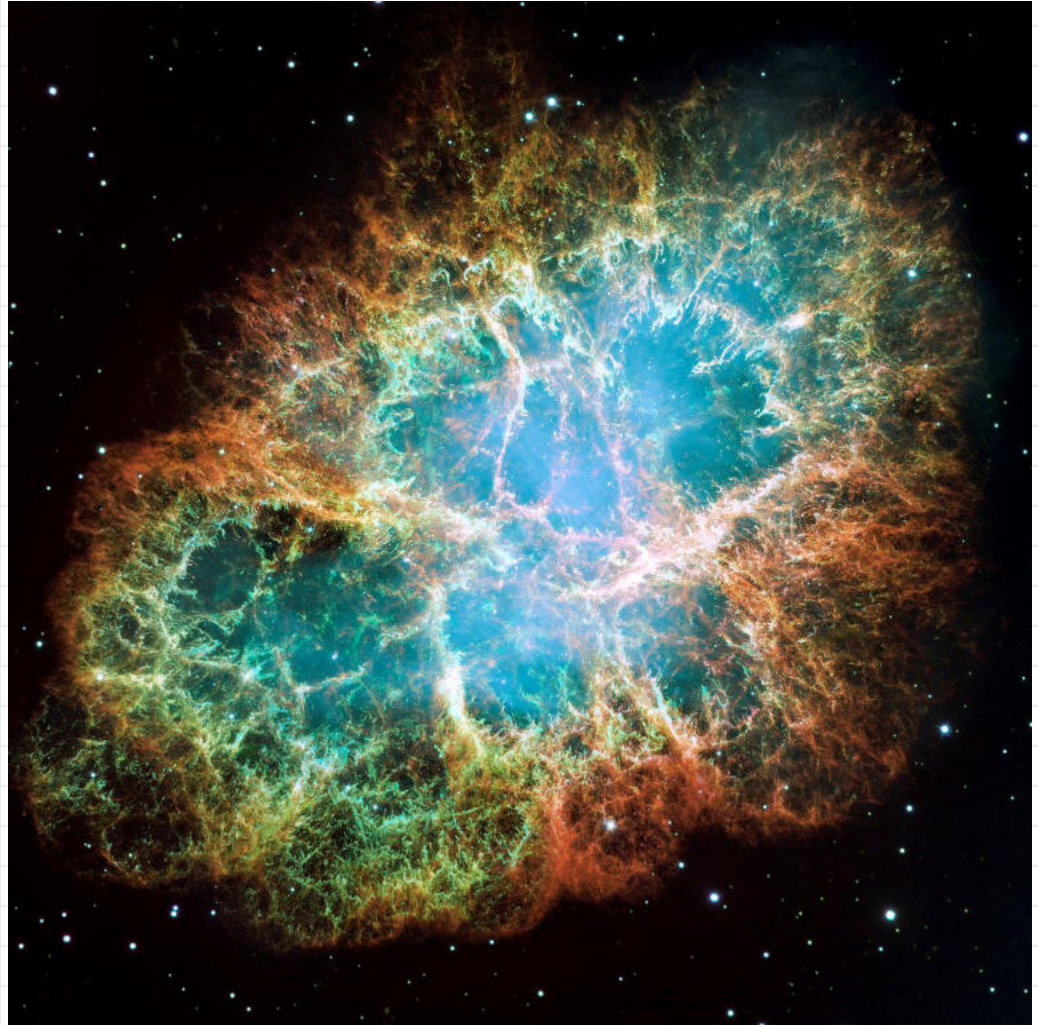
*19th Rußbach
School on Nuclear
Astrophysics*

*Rußbach,
March 2024*

Introduction:

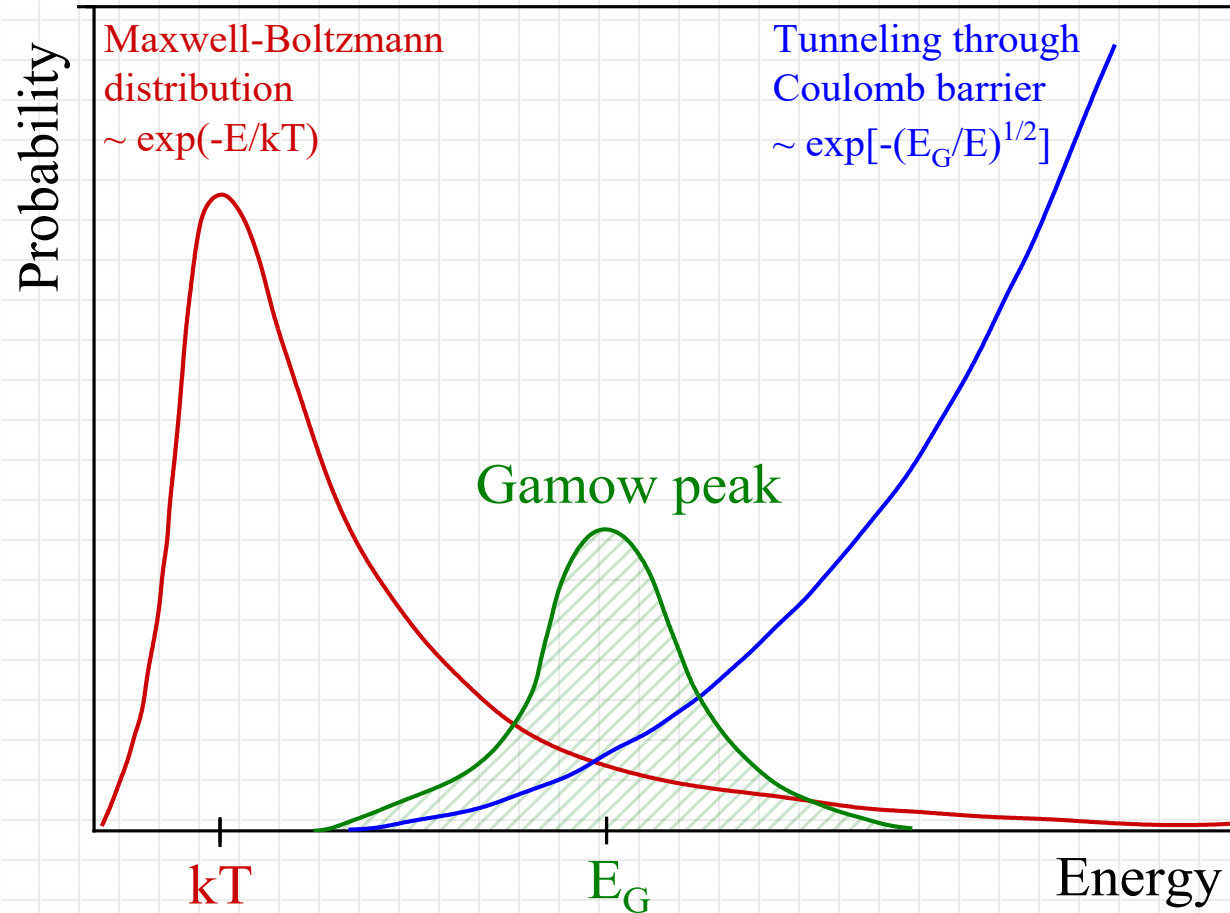
How were the chemical elements created?

- The only way to answer this question is to **study nuclear reactions at energies within the Gamow window**.
- Knowing reaction probabilities at these energies accurately will allow us to learn more about the nucleosynthesis and internal processes happening in stars.



Introduction:

Nuclear Reactions at the Gamow window



3-30 keV for stellar hydrogen-burning reactions in the cores of main sequence stars

~300 keV for stellar helium-burning reactions in the cores of red giant stars

1-2 MeV for stellar carbon burning

Introduction:

Nuclear Reactions at the Gamow window

- In nuclear reactions between charged particles at low energies, when the energy of the incident beam in the center of mass system is far below the Coulomb barrier, tunneling is the only way the fusion process can happen.

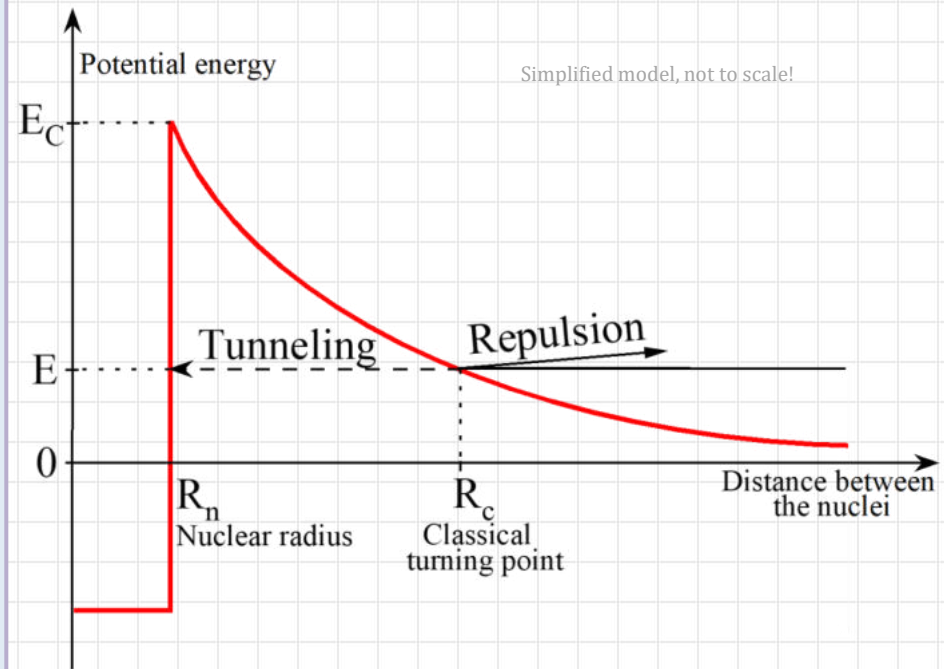
The probability that an incoming particle penetrates the Coulomb barrier:

$$T = \frac{|\Psi_{R_n}|^2}{|\Psi_{R_c}|^2}$$

$$T \approx \exp \left\{ -\frac{2}{\hbar} \sqrt{\frac{2\mu}{E}} Z_0 Z_1 e^2 \left[\arccos \sqrt{\frac{E}{V_C}} - \sqrt{\frac{E}{V_C}} \left(1 - \frac{E}{V_C} \right) \right] \right\}$$

when $E/V_C \ll 1$:

$$\begin{aligned} T &\approx \exp \left\{ -\frac{2}{\hbar} \sqrt{\frac{2\mu}{E}} Z_0 Z_1 e^2 \left[\frac{\pi}{2} - 2\sqrt{\frac{E}{V_C}} + \frac{1}{3} \left(\frac{E}{V_C} \right)^{3/2} \right] \right\} \\ &= \exp \left\{ -\frac{2\pi}{\hbar} \sqrt{\frac{\mu}{2E}} Z_0 Z_1 e^2 \left[1 + \frac{2}{3\pi} \left(\frac{E}{V_C} \right)^{3/2} \right] + \frac{4}{\hbar} \sqrt{2\mu Z_0 Z_1 e^2 R_n} \right\} \end{aligned}$$



Introduction:

Nuclear Reactions at the Gamow window

Gamow factor:

$G = \exp(-2\pi\eta(E))$ - describes the s-wave penetration through the Coulomb barrier of point like charges

Cross section:

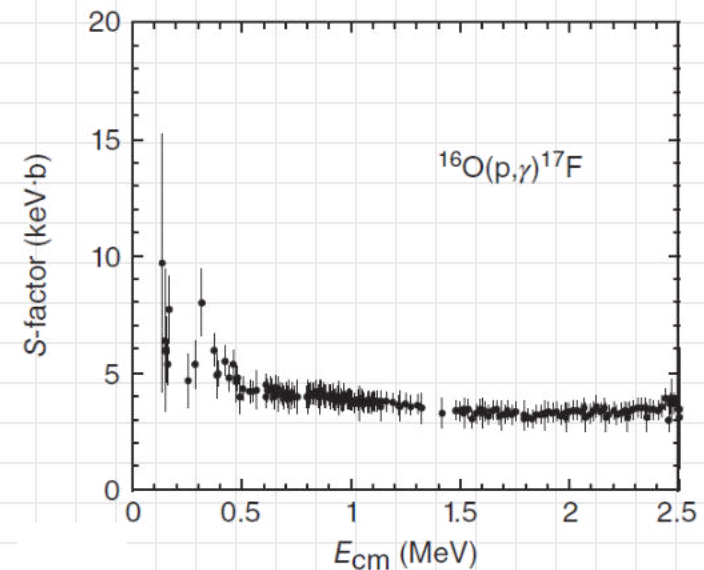
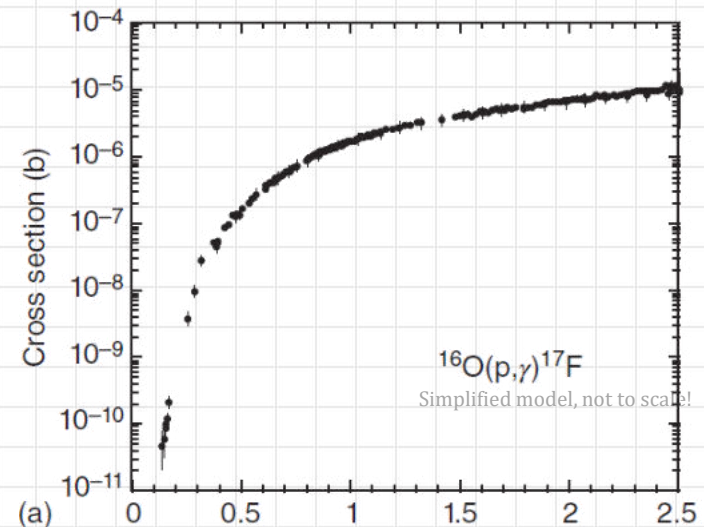
$$\sigma(E) = S(E)E^{-1} \exp(-2\pi\eta(E))$$

E – geometrical factor

Astrophysical S-factor:

$S(E)$ - contains all nuclear effects and in the case of non-resonant reactions **varies smoothly with energy**

Sommerfeld parameter:
 $\eta = Z_1 Z_2 e^2 / 4\pi\epsilon_0 \hbar (2E/\mu)^{1/2}$

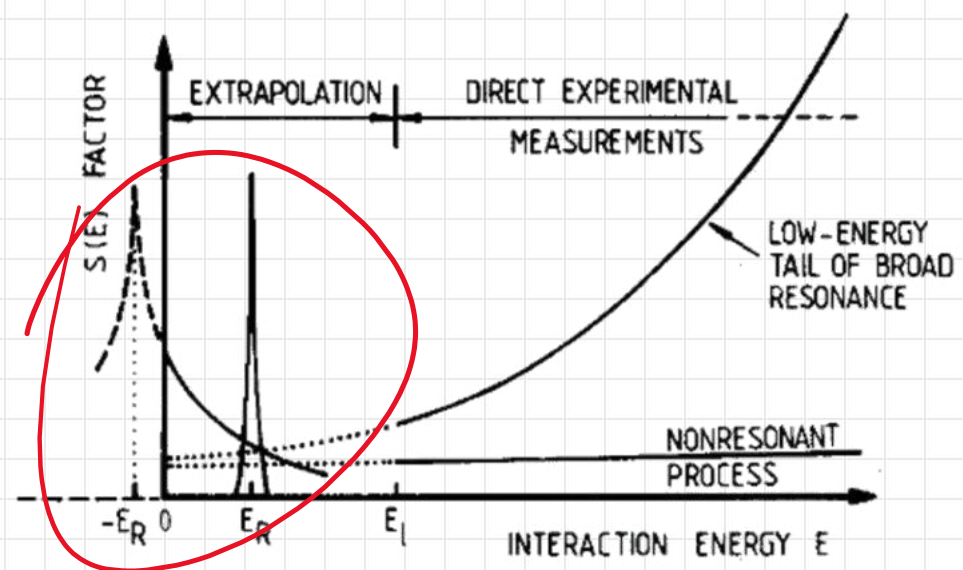
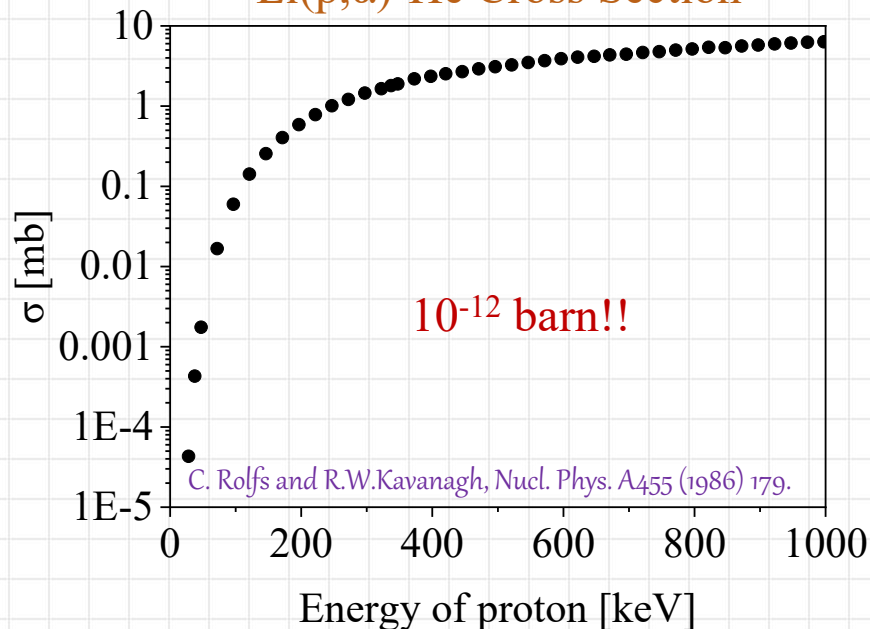


Introduction:

Nuclear Reactions at the Gamow window

- When the tunneling effect is the only way for the reaction to happen, the probability for fusion drops steeply with decreasing beam energy because of the huge repulsive Coulomb barrier through which the projectile has to penetrate.
- The cross sections at energies in the astrophysical region are extremely difficult to measure.

${}^7\text{Li}(p,\alpha){}^4\text{He}$ Cross Section



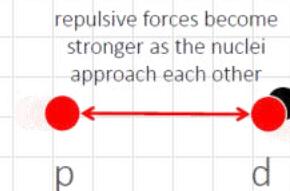
Electron Screening Effect

- Accurate measurements of nuclear reactions induced by low-energy charged particles, show an unexpectedly **large enhancement of the cross section** in Gamow energy region, that is attributed to the **presence of atomic electrons**.

Adiabatic Model:

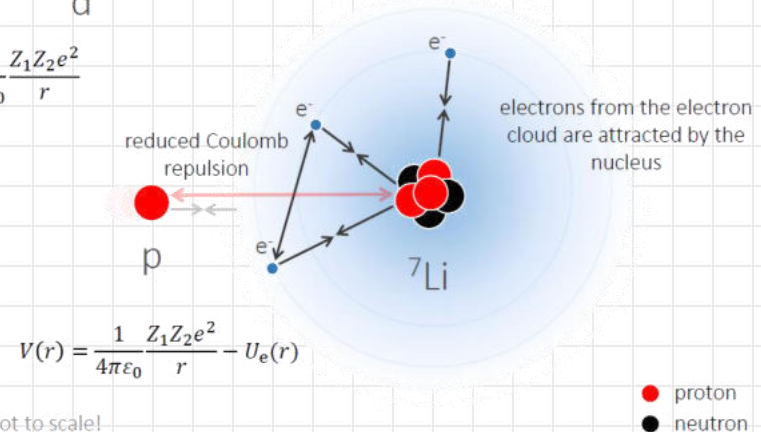
- Employs a static approximation
- Assumes unchanged electron density between interacting nuclei
- $V_{\text{nucleus}} \ll V_{\text{e,orb}}$
- Upper theoretical limit for U_e :
Adiabatic limit in a static approximation

Case 1: Bare nucleus



$$V(r) = \frac{1}{4\pi\epsilon_0} \frac{Z_1 Z_2 e^2}{r}$$

Case 2: Screened nucleus



Simplified model, not to scale!

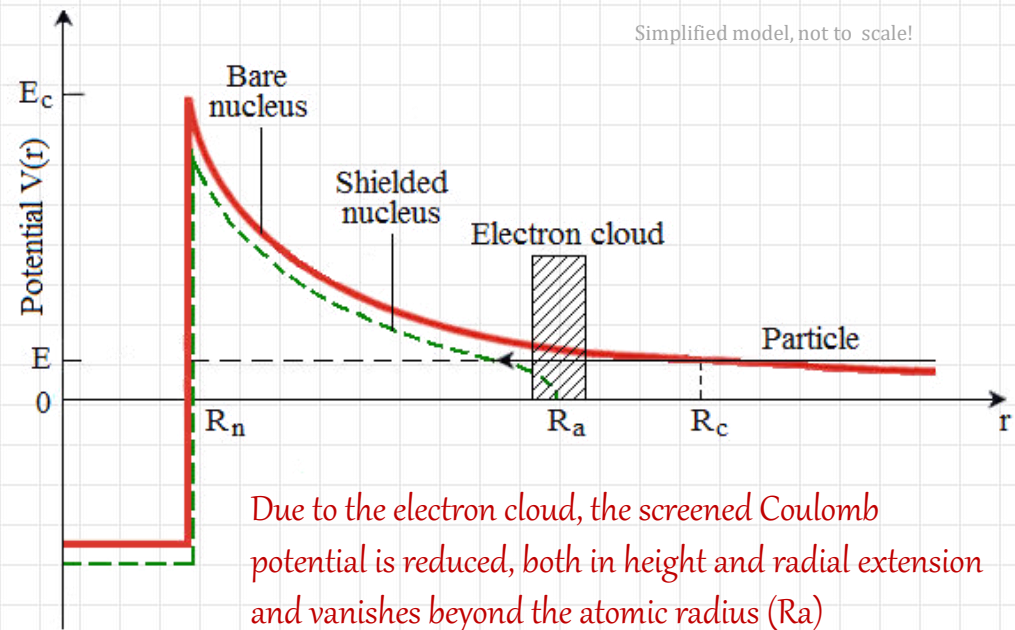
- Atomic and nuclear polarizabilities, vacuum polarization, electron excitations or relativistic effects lead to a **lower value of the screening potential**^[1].

Electron Screening Effect

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Electron Screening Effect

- When astrophysical energies are reached in underground laboratories, measurements do not give the bare-nucleus cross section, but we measure the screened one.
- Then, how do we take into account the screening effect?**

Enhancement factor:

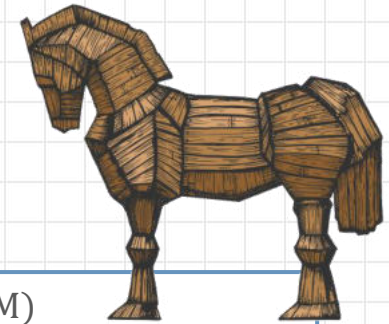
$$f(E) = \frac{\sigma_s(E + U_e)}{\sigma_b(E)} = \frac{e^{-2\pi\eta(E + U_e)}}{e^{-2\pi\eta(E)}}$$

Upper theoretical limit from
adiabatic model in a static approximation:

Trojan Horse Method (THM)
Asymptotic Normalization Coefficient (ANC)
Coulomb dissociation method (CD)
Surrogate and charge-exchange reactions

$$U_e = \frac{Z_1 Z_2 e^2}{4\pi\epsilon_0 R_a} = 27 \text{ eV for d+d reaction!}^{[1]}$$

- Many experimental results showed significant disagreement with the theory!



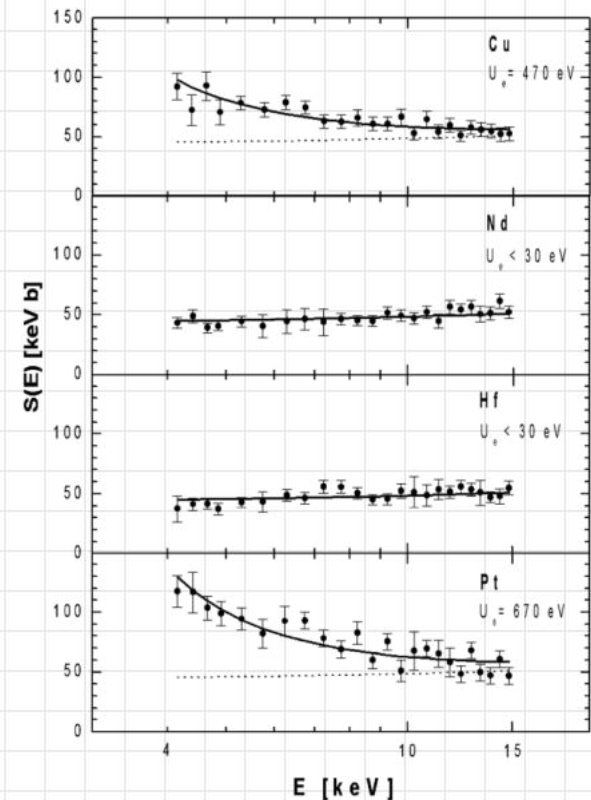
Previous Results

- With gaseous targets, obtained screening potentials are in agreement with the adiabatic limit.
- With the target nucleus implanted in a solid lattice, obtained screening potentials are much above the adiabatic limit

Material	U_e (eV) ^(b)	Solubility $1/x$ ^(c)	n_{eff} ^(b)
Metals			
Be	180±40	0.08	0.2±0.1
Mg	440±40	0.11	3.0±0.5
Al	520±50	0.26	3.0±0.6
V	480±60	0.04	2.1±0.5
Cr	320±70	0.15	0.8±0.4
Mn	390±50	0.12	1.2±0.3
Fe	460±60	0.06	1.7±0.4
Co	640±70	0.14	3.1±0.7
Ni	380±40	0.13	1.1±0.2
Cu	470±50	0.09	1.8±0.4
Zn	480±50	0.13	2.4±0.5
Sr	210±30	0.27	1.7±0.5
Nb	470±60	0.13	2.7±0.7
Mo	420±50	0.12	1.9±0.5
Ru	215±30	0.18	0.4±0.1
Rh	230±40	0.09	0.5±0.2
Pd	800±90	0.03	6.3±1.3
Ag	330±40	0.14	1.3±0.3
Cd	360±40	0.18	1.9±0.4
In	520±50	0.02	4.8±0.9
Sn	130±20	0.08	0.3±0.1
Sb	720±70	0.13	11±2
Ba	490±70	0.21	9.9±2.9
Ta	270±30	0.13	0.9±0.2
W	250±30	0.29	0.7±0.2
Re	230±30	0.14	0.5±0.1
Ir	200±40	0.23	0.4±0.2
Pt	670±50	0.06	4.6±0.7
Au	280±50	0.18	0.9±0.3
Tl	550±90	0.01	5.8±1.2
Pb	480±50	0.04	4.3±0.9
Bi	540±60	0.12	6.9±1.5

Material	U_e (eV) ^(b)	Solubility $1/x$ ^(c)	n_{eff} ^(b)
Semiconductors			
C	≤ 60	0.35	
Si	≤ 60	0.23	
Ge	≤ 80	0.56	
Insulators			
BeO	≤ 30	0.25	
B	≤ 30	0.38	
Al ₂ O ₃	≤ 30	0.27	
CaO ₂	≤ 50	0.60	
Groups 3 and 4			
Sc	≤ 30	1.4	
Ti	≤ 30	1.3	
Y	≤ 70	1.8	
Zr	≤ 40	1.1	
Lu	≤ 40	1.5	
Hf	≤ 30	1.8	
Lanthanides			
La	≤ 60	0.6	
Ce	≤ 30	1.3	
Pr	≤ 70	0.9	
Nd	≤ 30	0.7	
Sm	≤ 30	1.3	
Eu	≤ 50	0.6	
Gd	≤ 50	1.4	
Tb	≤ 30	1.3	
Dy	≤ 30	1.1	
Ho	≤ 70	1.6	
Er	≤ 50	1.0	
Tm	≤ 70	1.4	
Yb	≤ 40	1.3	

$$U_e^{ad} = 27 \text{ eV for } {}^2\text{H(d,p)}3\text{H}$$

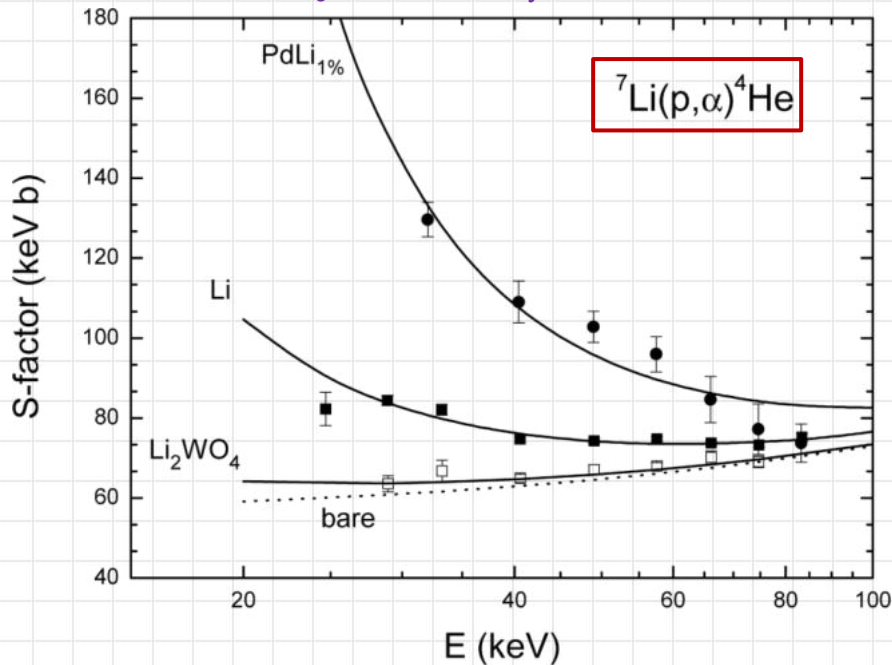


Previous Results

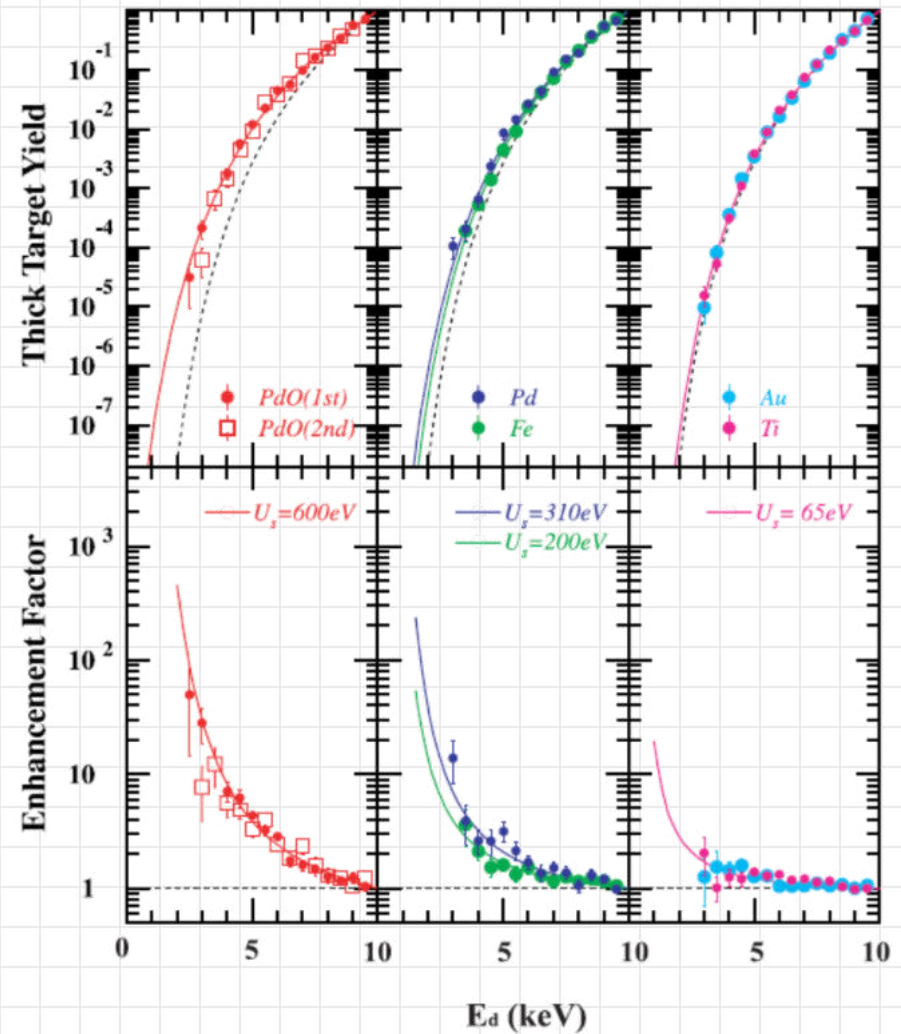


J. Kasagi, Prog. Theo. Phys. Suppl. 154 (2004) 365.

J. Cruz et al., Phys. Lett. B 624 (2005) 181



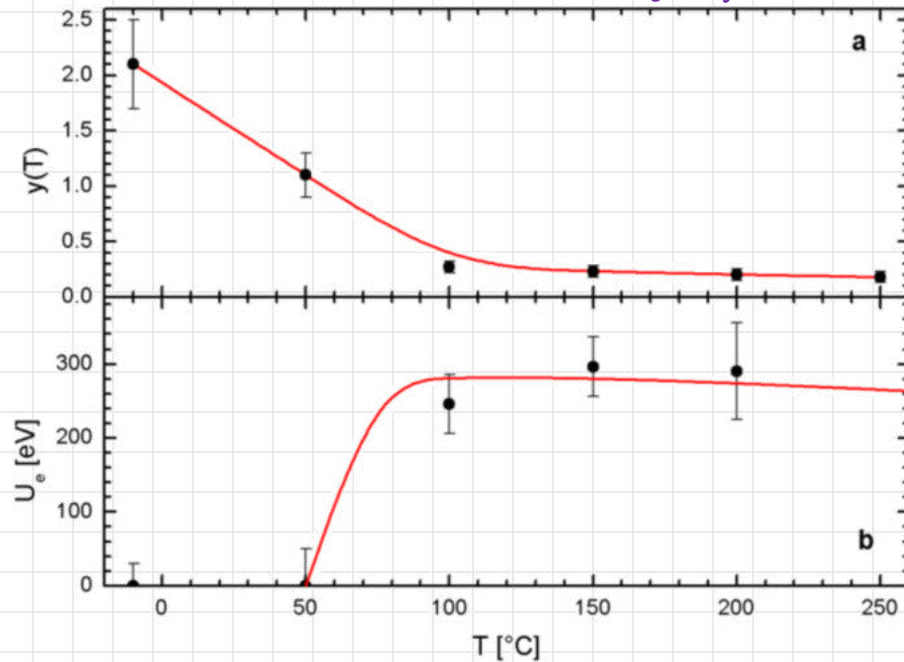
$\text{PdLi}_{1\%}$: $U_e = 3.7 \pm 0.3$ keV
 Li metal: $U_e = 1.18 \pm 0.06$ keV
 Li_2WO_4 : $U_e = 237^{+133}_{-77}$ eV



Previous Results

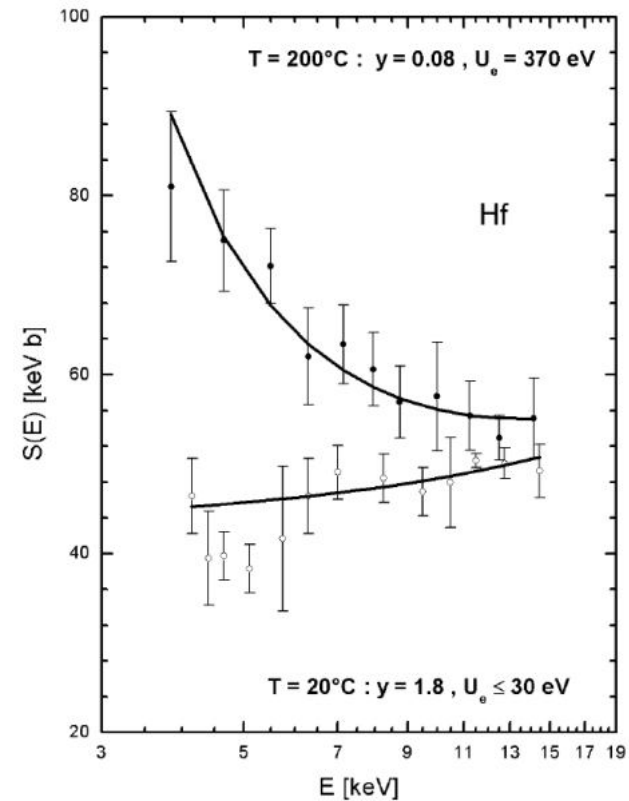
$$U_e^{ad} = 27 \text{ eV for } {}^2\text{H(d,p)}3\text{H}$$

F. Raiola et al., J. Phys. G 31, (2005) 1141.



Hydrogen solubility $y(T)$ and the screening potential $U_e(T)$ in Ti as a function of sample temperature T .

Material	T (°C)	U_e (eV) ^b	Solubility y ^c	n_{eff} ^b
<i>T</i> -dependence of Pt and Co				
Pt	20	675 ± 70	0.06	
	100	530 ± 40	0.06	
	200	530 ± 40	0.05	
	300	465 ± 38	0.04	
	340	480 ± 70	0.04	



Er	200	360 ± 80	0.05	4.3 ± 1.9
Tm	200	260 ± 80	0.05	2.2 ± 1.4
Yb	200	110 ± 40	0.13	0.4 ± 0.3

Insulator				
C	200	<50	0.15	

Another difficulty...

- Electron screening cannot be neglected in Nucleosynthesis calculations since all reactions occur at low energies.

Electron screening in the lab



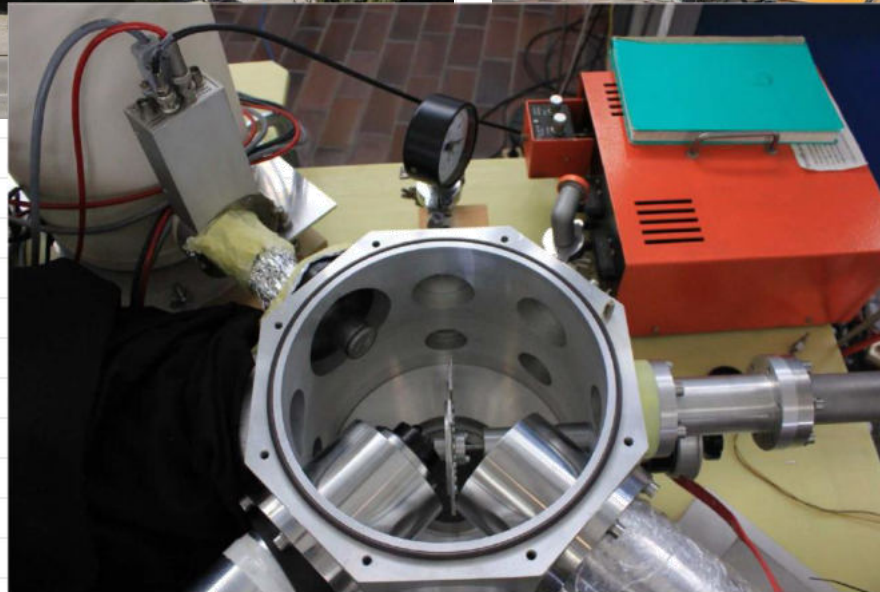
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Electron screening in stars

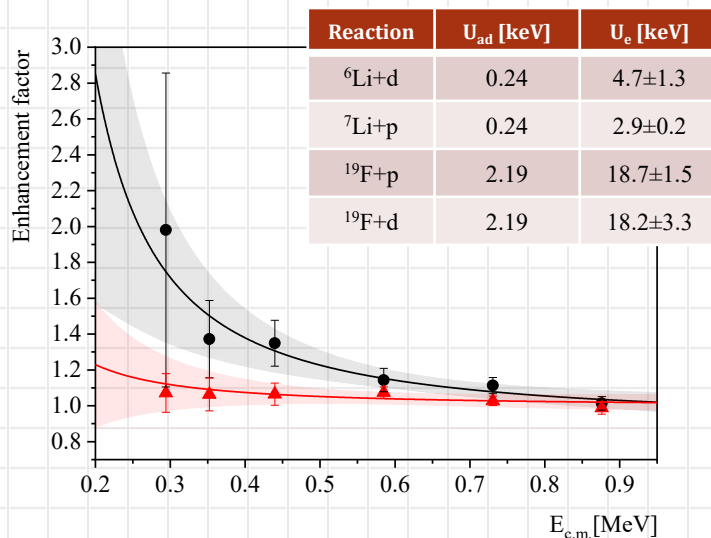


- We cannot predict the consequences of electron screening on the thermonuclear processes in stars until we first fully understand electron screening in the laboratory.

Electron Screening @ JSI



Our Previous Results



Electron screening in palladium

A. Cvetinović^{a,*}, D. Đeodrić^{b,c}, G.L. Guardo^{d,e}, M. Kelemen^{a,c}, M. La Cognata^d, L. Lamia^{d,e,f}, S. Markelj^a, U. Mikac^a, R.G. Pizzone^d, T. Schwarz-Selinger^g, I. Tišma^{a,h}, M. Vencelj^a, J. Vesić^a, M. Lipoglavšek^a

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ABSTRACT

The electron screening effect was studied in the $^1\text{H}(^7\text{Li},\alpha)^4\text{He}$, $^1\text{H}(^{19}\text{F},\alpha)^{16}\text{O}$ and $^2\text{H}(^{19}\text{F},p)^{20}\text{F}$ nuclear reactions on two different hydrogen-containing palladium foils. In one of the targets we did not detect a large enhancement of the cross section due to electron screening, and in the second one we measured a high electron screening potential for all three reactions, up to an order of magnitude above the theoretical models. Contrary to the predictions given by the available theories, the data suggest that the reason behind this difference is linked to a dependence of the electron screening potential on the host's crystal lattice structure and the location of the target nuclei in the metallic lattice.

- Dependence on projectile Z number is $\sim Z^2$ instead of expected linear dependence.
- Largest electron screening in inverse kinematics, while in forward kinematics no large electron screening, except for the p+d reaction.
- Target preparation may influence electron screening, pointing to a dependence of the enhancement factor on the position of the target nuclei in the metallic lattice and electron densities around the target nucleus.
- These findings cannot be explained by the available model and theory based on static electron densities.

Preparations for experiments

- o **Goal:** to find two targets with different U_e
- o **H or D containing targets:**
 - o Pd, Ti, Zr, aCH, aCD
 - o PdHx system does not behave like a stoichiometric compound but like a homogeneous alloy.



Gas loaded Pd targets:

- o At room temperature and 1atm for 24 h
- o **Gas mixture:** 85% D and 15% H
 - o **Soft Pd:** 70% of H(D) per metallic atom (Chempur, **ANNEALED**)
 - o **Hard Pd:** 47% of H(D) per metallic atom (Zlatarna Celje, **COLD ROLLED**)



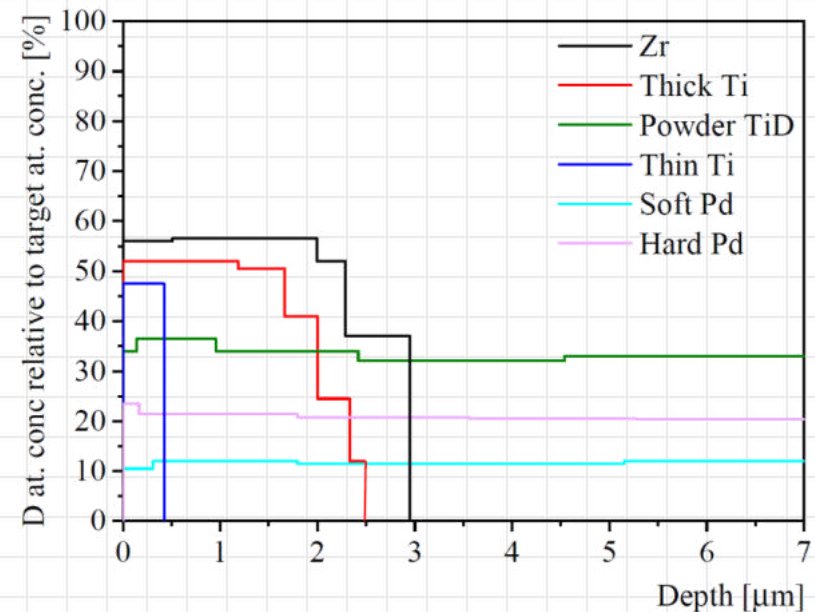
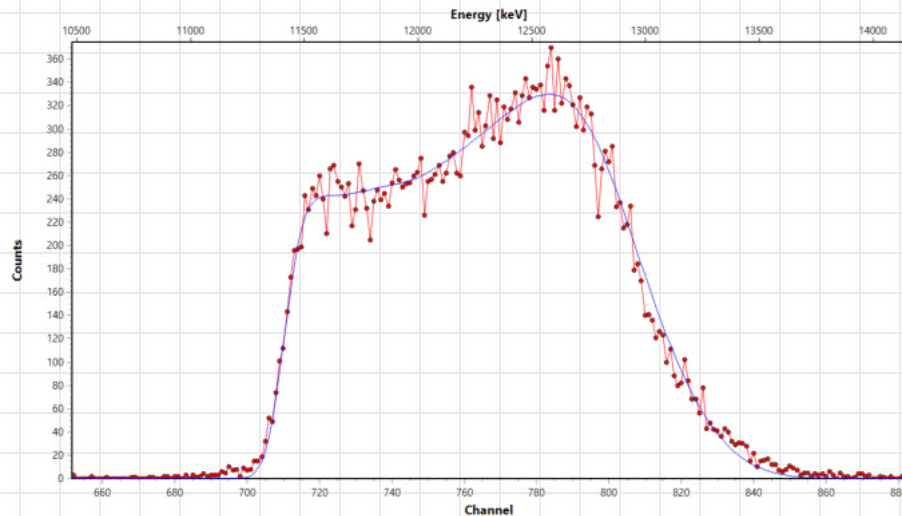
Targets implanted with ion gun:

- o Extraction voltage: 3.5 kV for 24 h
- o Passive cooling with copper holder

Deuterium depth distribution

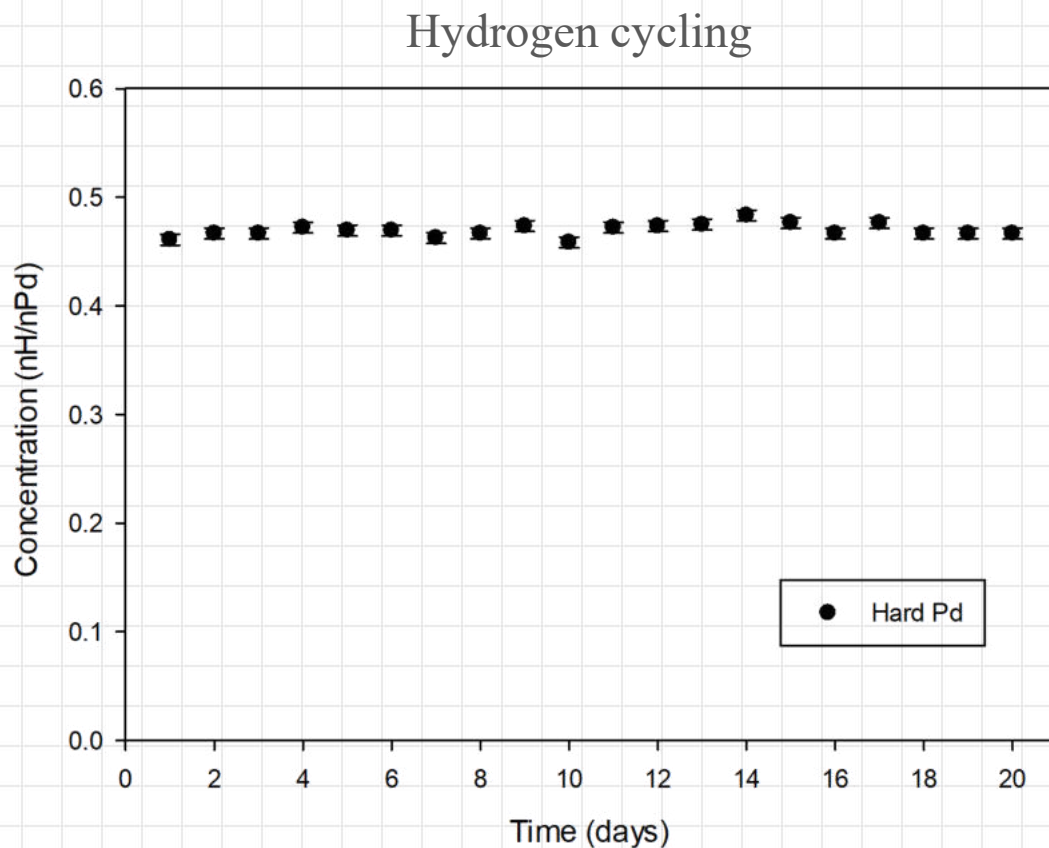
- Quantitative depth profiling of deuterium with the **Nuclear Reaction Analysis (NRA)**
- High-energy protons from the ${}^2\text{H}({}^3\text{He}, \text{p}){}^4\text{He}$ reaction were measured at seven beam energies from **0.629** to **4.297 MeV**.

$$N_p = N_{\text{beam}} \overset{?}{n_D} \frac{\rho N_A}{M} \int_{E_0}^0 \varepsilon \omega \frac{\sigma(E)}{dE_{Li}/dx} dE_{He}$$



Electron Screening @ JSI:

Hydrogen in Palladium – gravimetric measurements



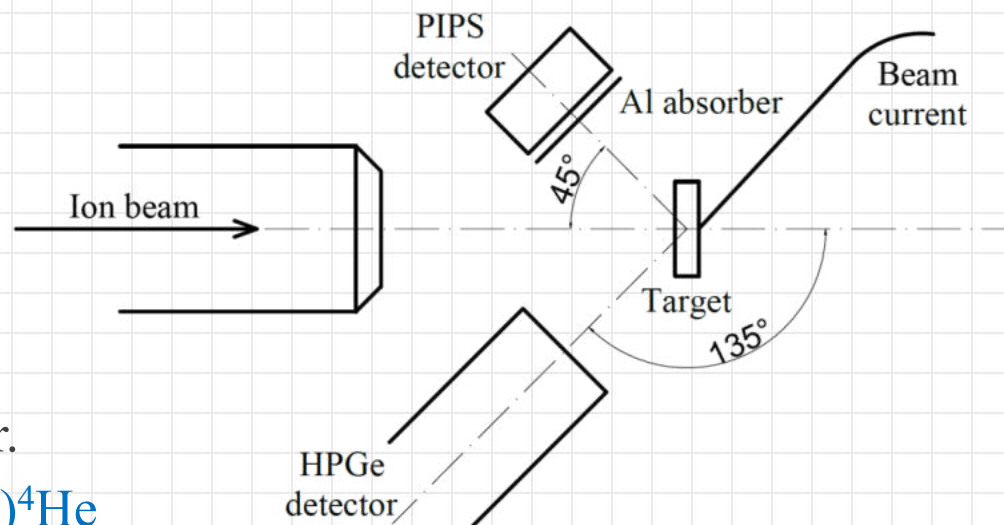
Experimental setup

○ 2-MV Tandatron accelerator at Jožef Stefan Institute

○ Employed method: **inverse kinematics**

○ „Z“ dependence of e.s.

○ Isotopic dependence of e.s.



nonresonant r.

○ $^1\text{H}(^7\text{Li}, \alpha)^4\text{He}$

○ $^2\text{H}(^6\text{Li}, \alpha)^4\text{He}$

○ $^2\text{H}(^6\text{Li}, p_0/p_1)^7\text{Li}$

○ $^2\text{H}(^{19}\text{F}, p)^{20}\text{F} \xrightarrow{\beta^-} ^{20}\text{Ne} + \gamma$

resonant r.

○ $^1\text{H}(^{11}\text{B}, \alpha\alpha)^4\text{He}$

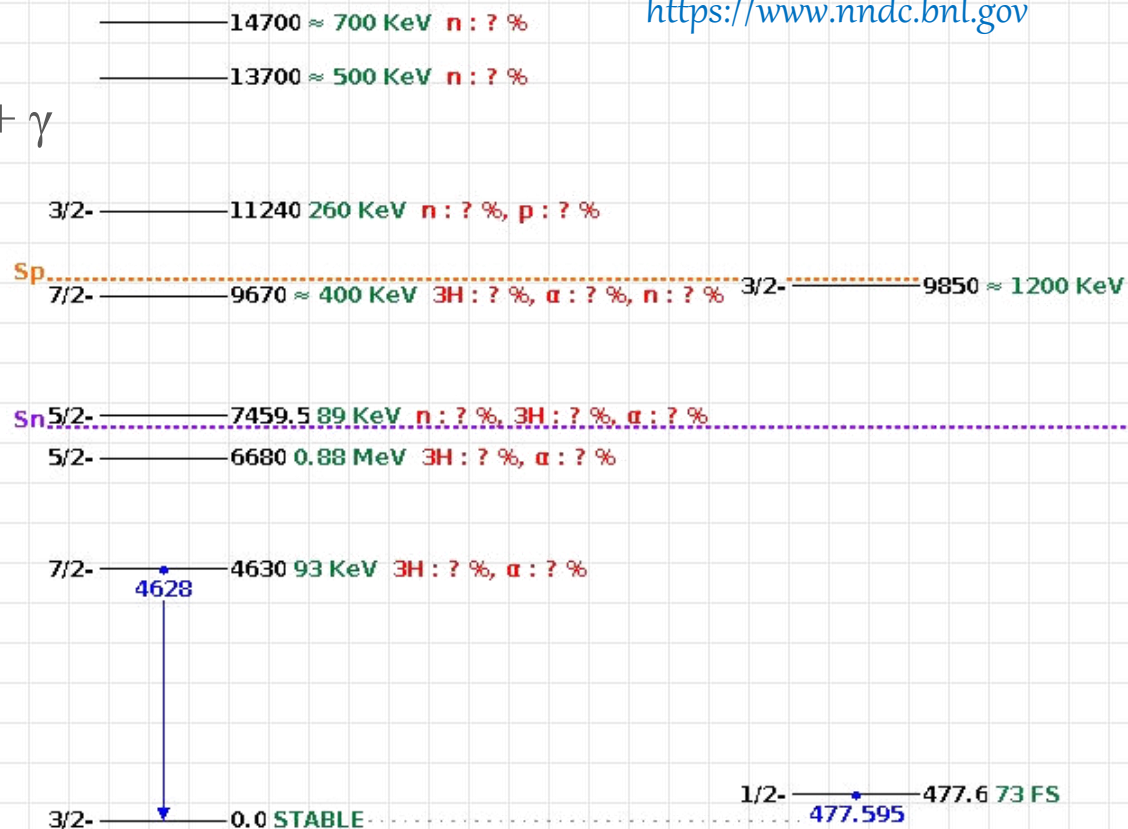
○ $^1\text{H}(^{19}\text{F}, \alpha\gamma)^{16}\text{O}$

Latest Results:

Electron screening in Lithium



${}^7\text{Li}$ level scheme
<https://www.nndc.bnl.gov>

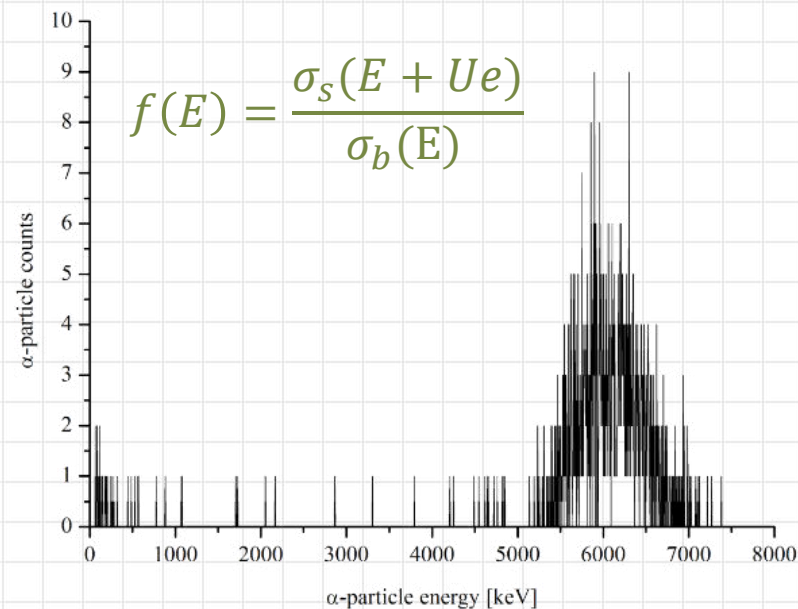


${}^7_3\text{Li}_4$

Latest Results:

${}^2\text{H}({}^6\text{Li}, \alpha){}^4\text{He}$ and ${}^1\text{H}({}^7\text{Li}, \alpha){}^4\text{He}$

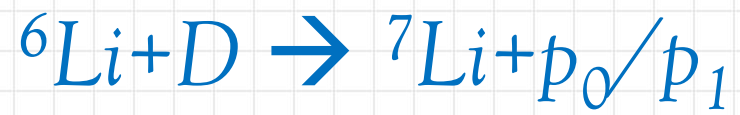
$$U_{ad} = 0.24 \text{ keV}$$



C. Spitaleri et al., Phys. Rev. C 63, 055801 (2001).



Enhancement factor:



Latest Results:



o J^π of particles in the entrance channel (both ${}^6\text{Li}$ and D) = 1^+

o J^π of the ground state of ${}^7\text{Li}$ = $3/2^-$

o J^π of the 1st excited state of ${}^7\text{Li}$ = $1/2^-$

${}^7\text{Li}$ level scheme
<https://www.nndc.bnl.gov>

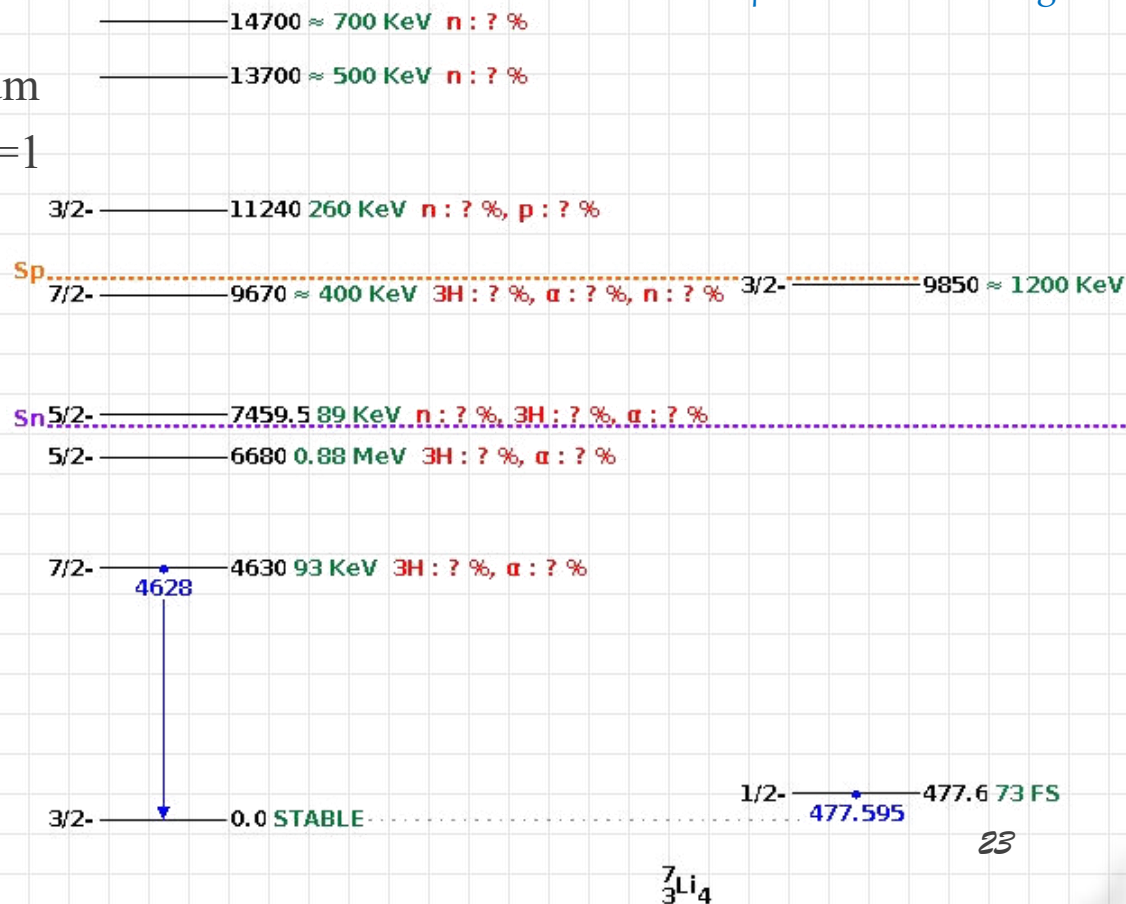
o p_0 - no orbital angular momentum

o p_1 - orbital angular momentum = 1

o $V_{\text{Coulomb}} = 1.9 \text{ MeV}$

o Q-value = 5 MeV

o $V_{\text{Centrifugal}} = 10 \text{ MeV}$



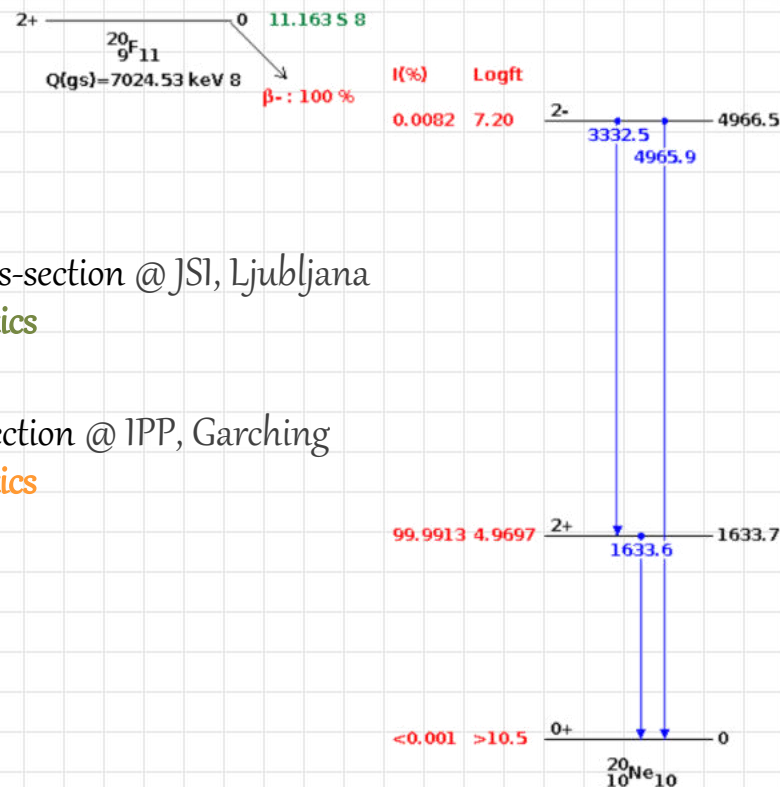
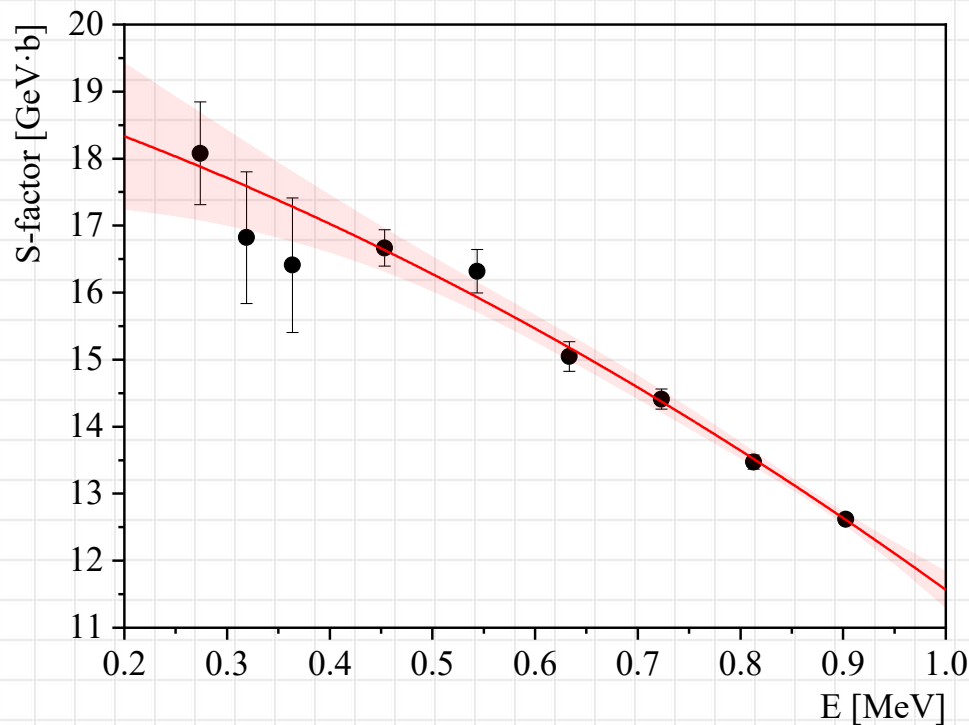
Bare-nucleus cross-section: The $^{19}\text{F}+\text{D}$ reaction

Enhancement factor:

$$f(E) = \frac{\sigma_s(E+U_e)}{\sigma_b(E)}$$

Screened-nucleus cross-section @ JSI, Ljubljana
Inverse kinematics

Bare-nucleus cross-section @ IPP, Garching
Forward kinematics



- The $^{19}\text{F}(\text{d},\text{p})^{20}\text{F}$ reaction
- ~100 nm thick CaF_2 target
- Deuterium beam

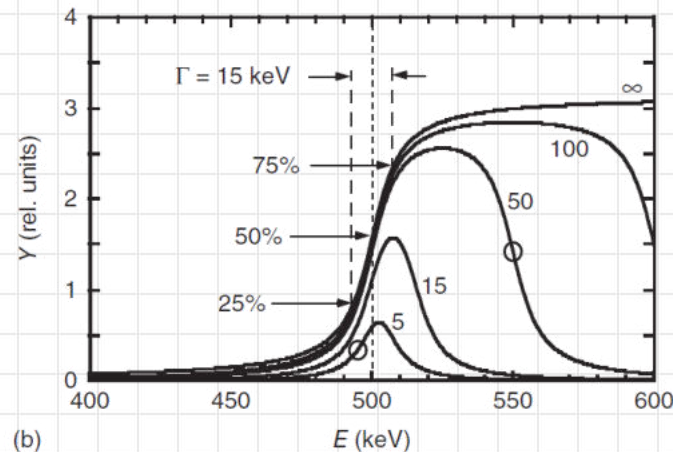
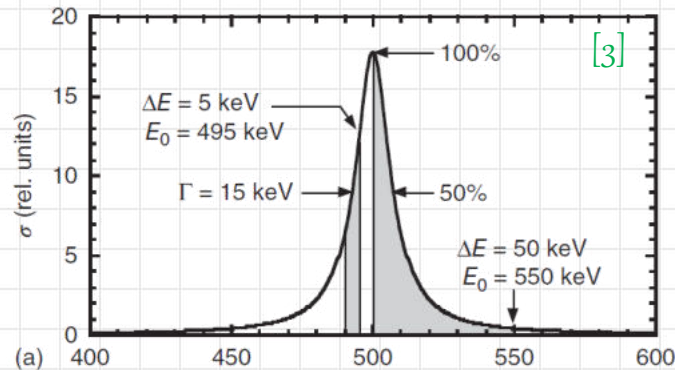


Bare-nucleus cross-section:

The $^{19}\text{F}+\text{D}$ reaction

Latest Results:

Resonant reactions



[3] C. Iliadis. *Nuclear Physics of Stars - Second, Revised and Enlarged Edition*, Wiley-VCH, Weinheim, 2015.

Breit-Wigner resonance cross section:

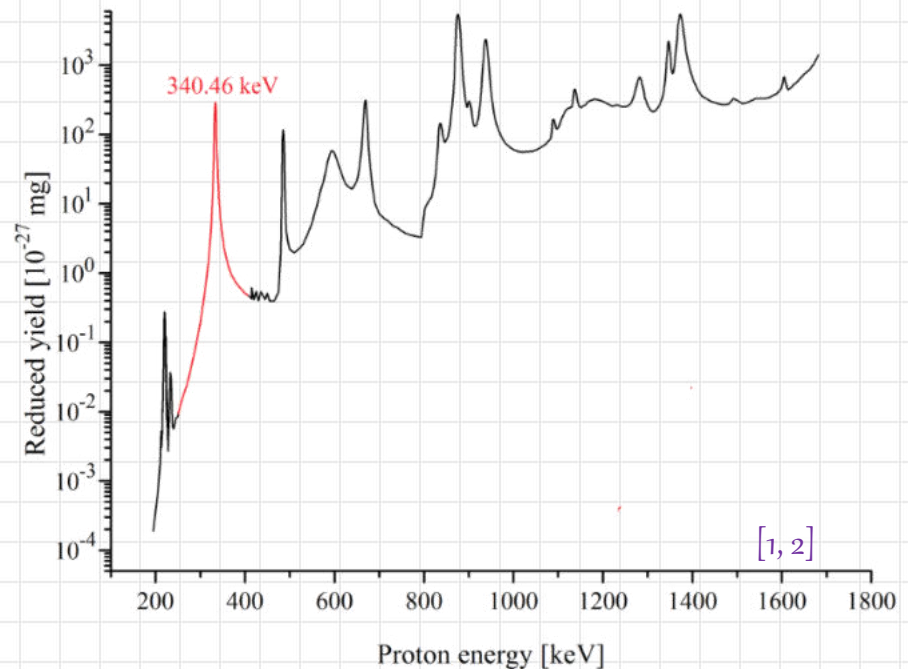
$$\sigma(E) = \frac{\lambda^2}{4\pi} \frac{\omega\gamma\Gamma}{(E_r - E)^2 + (\Gamma/2)^2}$$

$$\sigma(E_r) = \frac{\lambda^2}{2\pi} \frac{\omega\gamma}{\Gamma}$$

Enhancement factor:

$$f(E) = \frac{\sigma_s}{\sigma_b} = \frac{\omega\gamma_s}{\omega\gamma_b}$$

$\omega\gamma$ - resonance strength
(= integrated cross section
over resonant region)



[1] K. Spyrou et al., *Z. Phys., A* **357**, 283 (1997).

[2] K. Spyrou et al., *Eur. Phys. J., A* **7**, 79 (2000).

Latest Results:

The $^1\text{H}(^{19}\text{F}, \alpha\gamma)^{16}\text{O}$ reaction

Thick target yield ^[1]:

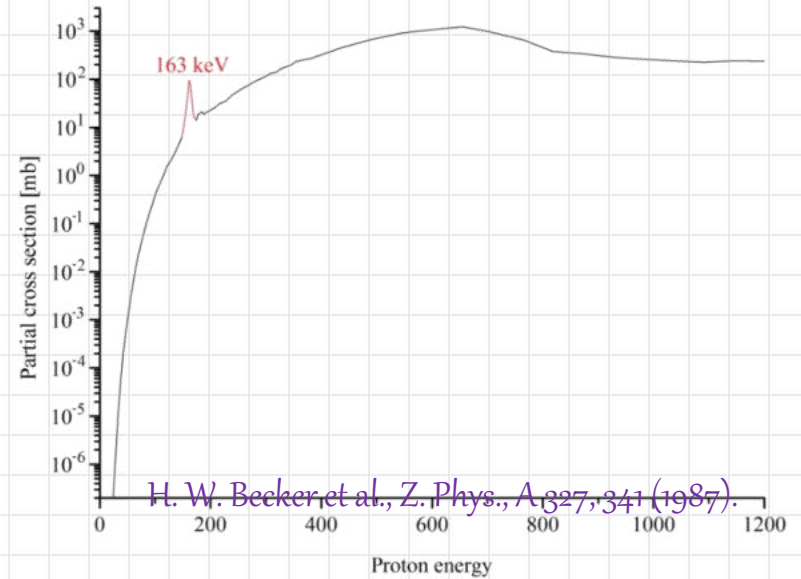
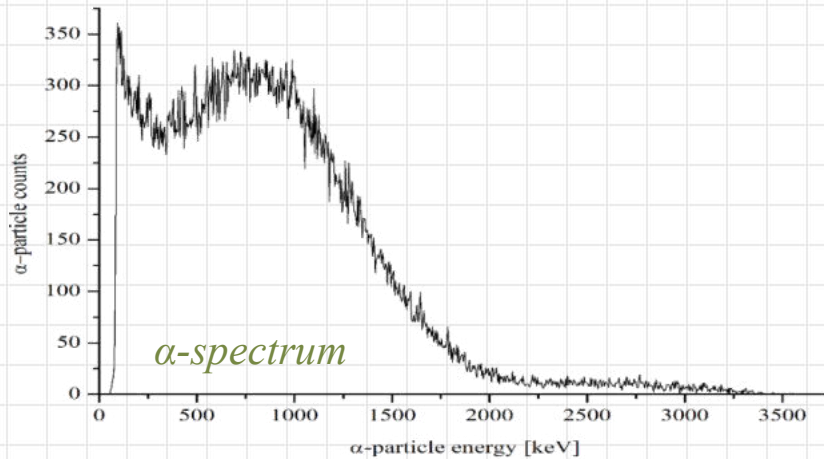
$$Y(E) = \frac{\lambda^2}{2\pi} \frac{\omega\gamma}{\varepsilon_r^{eff}} \left[\arctan\left(\frac{E-E_r}{\Gamma/2}\right) - \arctan\left(\frac{E-E_r-\Delta E}{\Gamma/2}\right) \right]$$

[1] C. Iliadis. Nuclear Physics of Stars, 2015.

[2] K. Spyrou et al. Eur. Phys. J., A 7:79, 2000.

Latest Results:

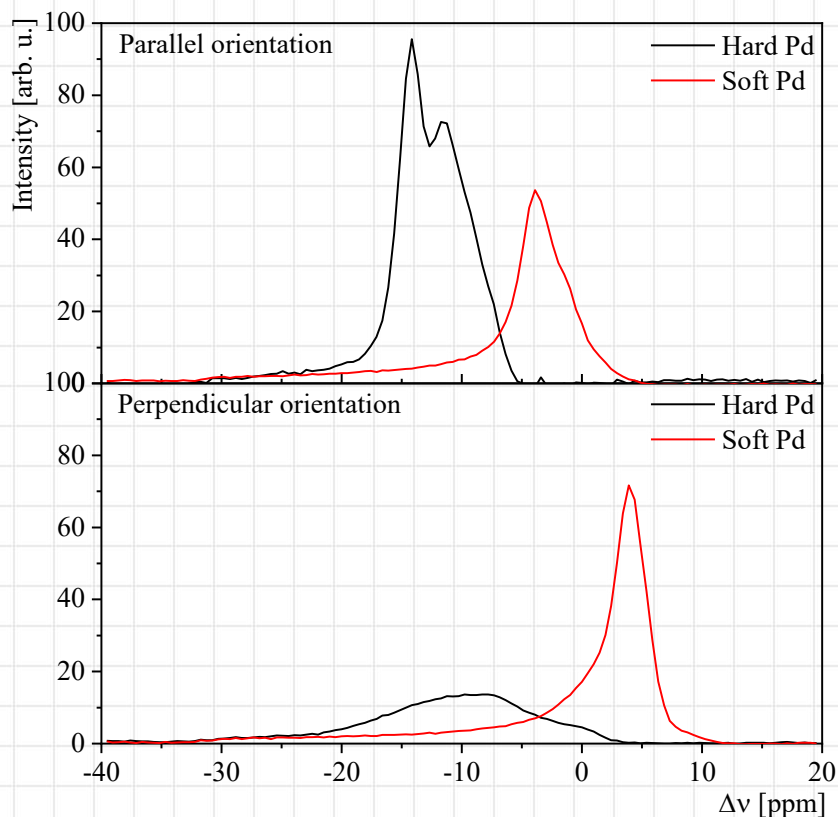
The $^1\text{H}(^{11}\text{B}, \alpha\alpha)^4\text{He}$ reaction



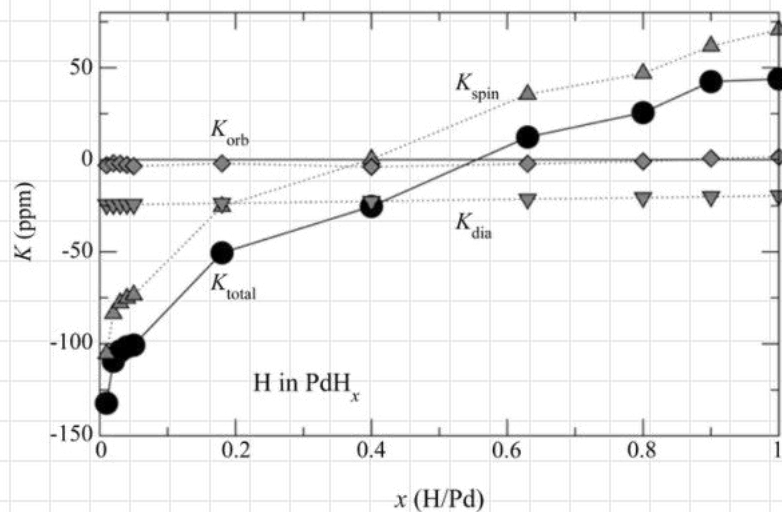
Target analysis:

Nuclear Magnetic Resonance and Knight shift

- The Knight shift originates from the interaction of conducting electrons in metals with nuclear spins and is proportional to the density of electronic states at the Fermi level at the nucleus site



Foil	n_D	K_{H_1} [ppm]	K_{H_2} [ppm]	K_{H_t} [ppm]
Soft Pd	0.70	26.7	/	≈ 18
Hard Pd	0.47	20.2	15.6	≈ -14



The theoretical Knight shift of H in PdH_x over the entire range of x [M. Deng et al. *Solid State Communications*, 150:1262, 2016.].

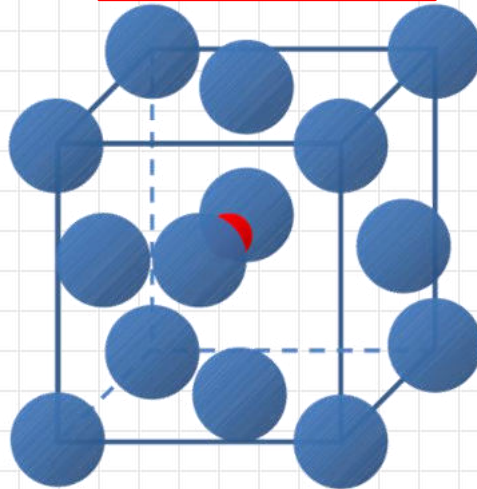
Latest Results:

Crystal symmetry

H located at regular octahedral interstitial sites

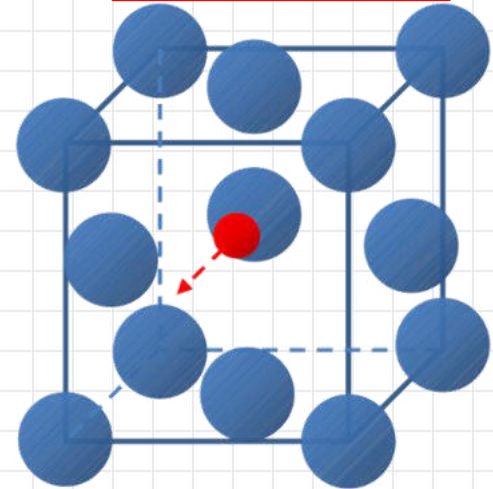
small screening

fcc (Pd)



H trapped at grain boundaries, dislocations and voids

large screening



Conclusions

- Contrary to the predictions given by the Adiabatic model, the large electron screening is not linked to the static electron densities around interacting nuclei.
- Static picture can explain only small electron screening.
- Crystalline effects have to be considered in order to explain huge measured U_e values.
- Large screening is induced by placing the target nuclei at specific positions in crystal lattice where the density of electronic states is higher.
- Connection between metals and plasma is still not understood!

Thank you for your attention!