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#### Background

Provenance is information about the origin of data. It is one of the requirements for fair data (see [1]). Essential for reviewable data.

#### Whenever data is used, provenance is needed together with the data to review it for the given usage

- Data formats for combining provenance & data exists (for example hdf5 [2], data packages [3]) -
- Cloud based services exists (see for example [4])
- Metadata management systems are in use (see various MMS or PDM) -

#### Scenario 1: Data usage of after some years/decades

Scenario 2: Data exchange between stakeholders

## (see for ex. aviation regulations for record keeping)

- Maintenance matters
- Technology & tools will change -

#### without common infrastructure

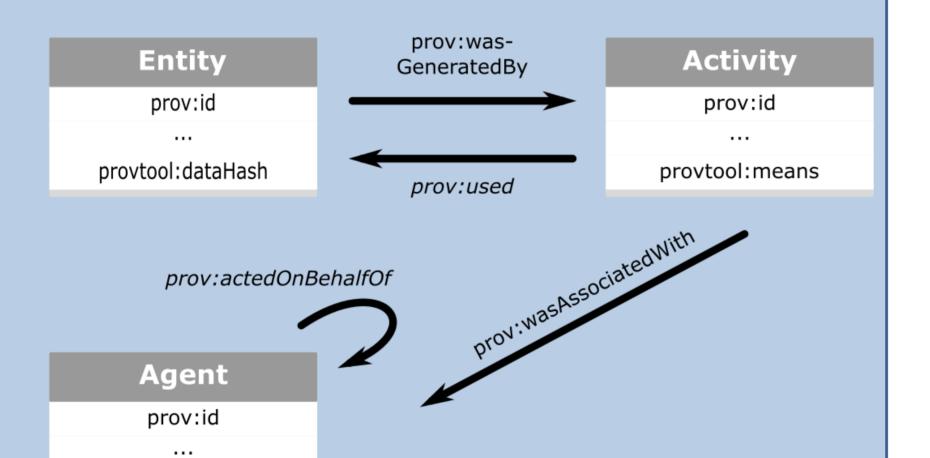
- No direct synchronization of data possible
- No direct synchronization wanted \_

#### **Provenance model used**

Model: Reduced W3C prov model [5]: Activities, agents, entities

#### **Additional properties**

- provtool: datahash, :means



#### Approach

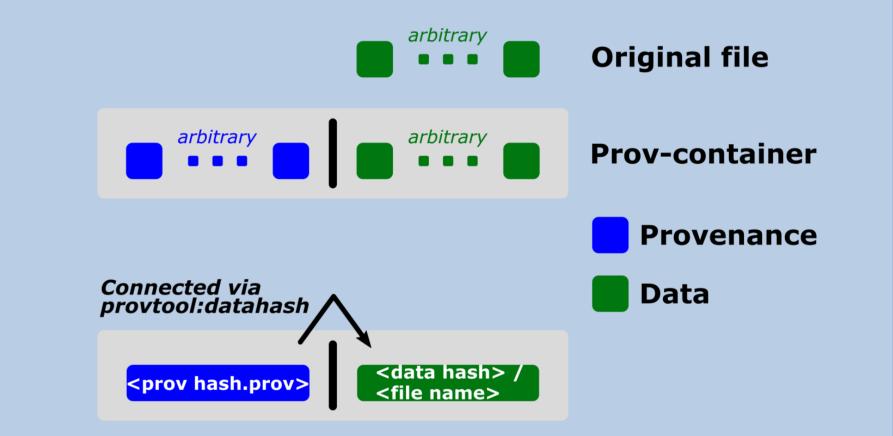
**Assumption:** Data & provenance exchange somehow possible, concrete technology unknown (time & space)

### Scope:

- No additional services/tools needed (but support possible)
- Data as is
- Not necessarily local
- Usable by nearly any existing DMS

#### **Provenance container**

- Data kept as binary
- Provenance in utf-8 encoded json according to [6] and reduced model for current activity only
- datahash as reference from provenance to data
- Serialized independently with hash sum as id & name



#### Reduced povenance model base on the W3C prov model.

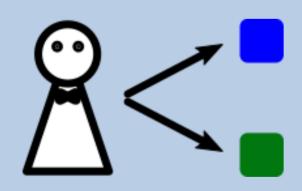
#### - Lookup and search out of scope

- Provenance entity id = provenance hash

## Usage

### Production

- Agent generates data and provenance in text format



# Usage

- User starts with provenance
- Queries used

### Implications

## Hash as id: Content addressable

- Storage location is ir
- Nearly any storage technology will do

### **Reference to primary sources**

- Build & traverse provenance graph
- Additional systems may provide easy lookup

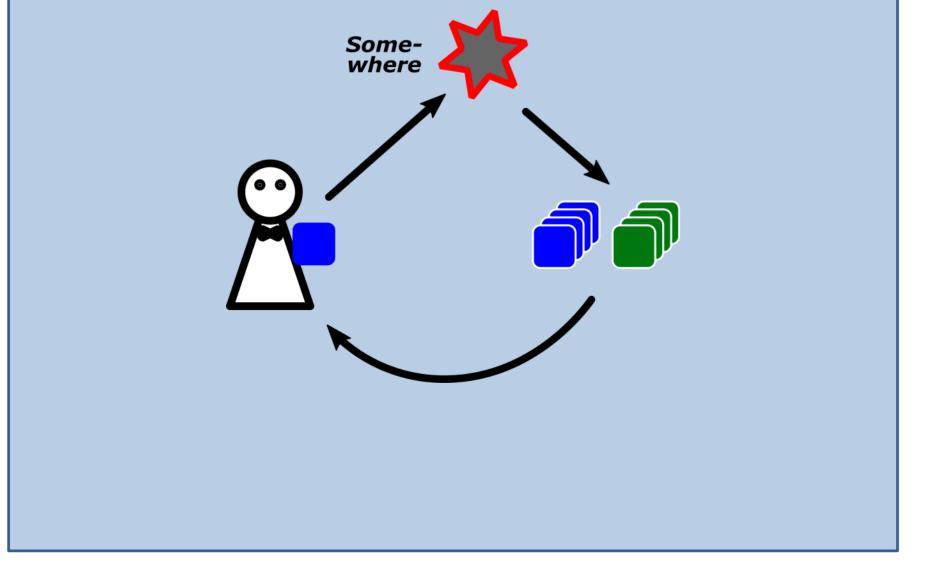
## **Effective Immutability**

- Modification detectable due to hash id
- Data generation using an existing container seals the previous container

# Exchange w/o technical debts

- Only existing formats and utf8-text
- Nearly any existing exchange format will do

## **Provenance first!** (see [4] for



## Self describing within model

- Provenance container  $\triangleq$  Entity

### **Arbitrary DMS possible**

- Use already: Shepard [7, 8]
- File storage & indexing with lucene

similar id-based approach)

Con:

Two artefacts instead of one

Purely organizational effort to use it: Can start today



[1]: Wilkinson et al.: "The FAIR Guiding Principles for scientific data management and stewardship", Scientific Data 3, 2016 [2]: Koziol, Robinson: HDF5, https://doi.org/10.11578/dc.20180330.1 [3]: Walsh, Pollock: https://specs.frictionlessdata.io//data-package/ [4]: Chard et al.: "I'll take that to go: Big data bags and minimal identifiers for exchange of large, complex datasets", 2016 IEEE International Conference

[5]: Groth, Moreau: "PROV-Overview", https://www.w3.org/TR/prov-

overview/

[6]: Huynh et al.: "The PROV-JSON Serialization",

https://www.w3.org/Submission/prov-json/

[7]: Dressel et al.: "Common Source & Provenance at Virtual Product House: Integration with a Data Management System", DLRK 2022 [8]: Haase et al.: "shepard - storage for heterogeneous product and research data", DOI: 10.5281/zenodo.5091604