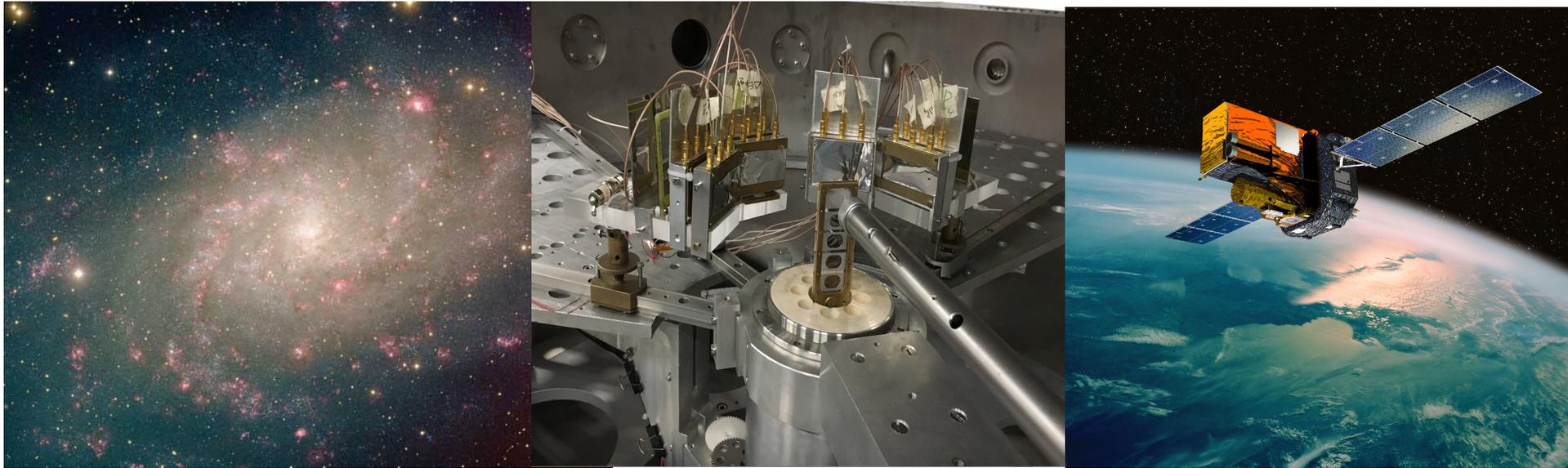


March, 13-19 2022



17th Rußbach School on Nuclear Astrophysics

Resonant $^{12}\text{C}+^{12}\text{C}$ fusion at astrophysical energies



Aurora Tumino

Outline

C-burning: astrophysical scenarios and status of measurements

The THM $^{12}\text{C}(^{12}\text{C},\alpha)^{20}\text{Ne}$ and $^{12}\text{C}(^{12}\text{C},p)^{23}\text{Na}$ experiment

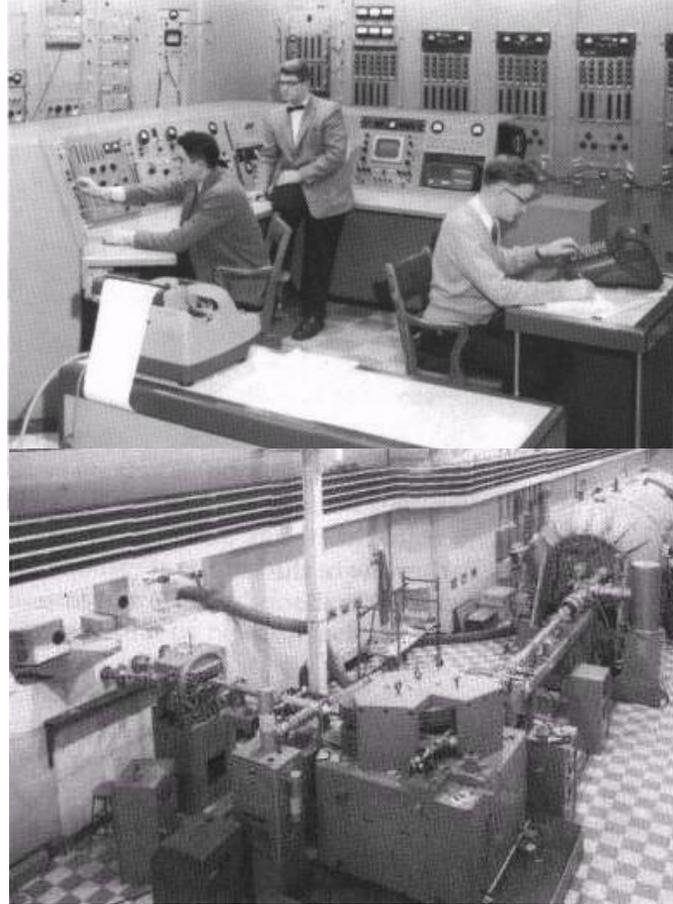
Results and implications

RESONANCES IN C^{12} ON CARBON REACTIONS

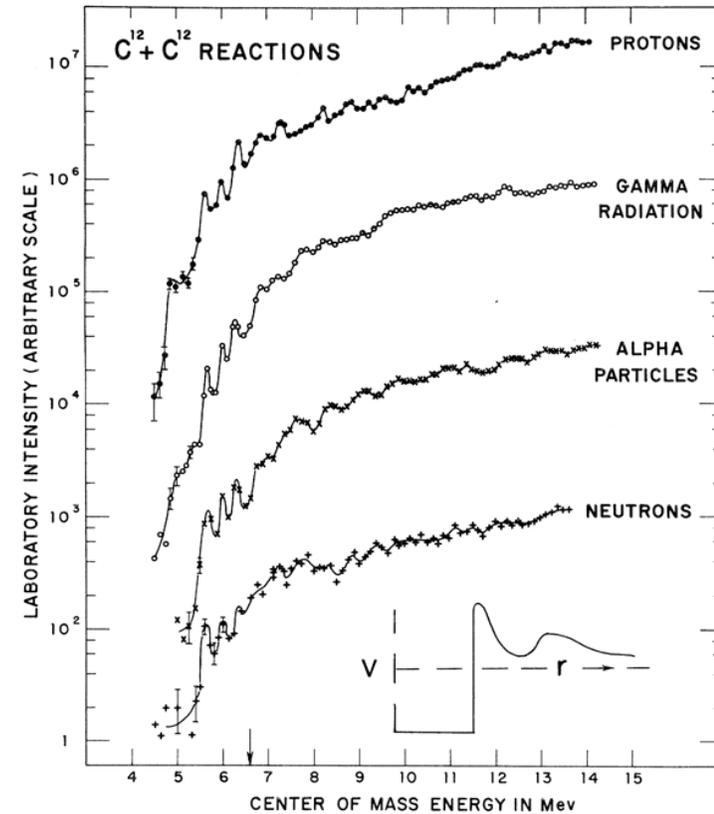
E. Almqvist, D. A. Bromley, and J. A. Kuehner

Atomic Energy of Canada Limited, Chalk River Laboratories, Chalk River, Ontario, Canada

(Received March 28, 1960)



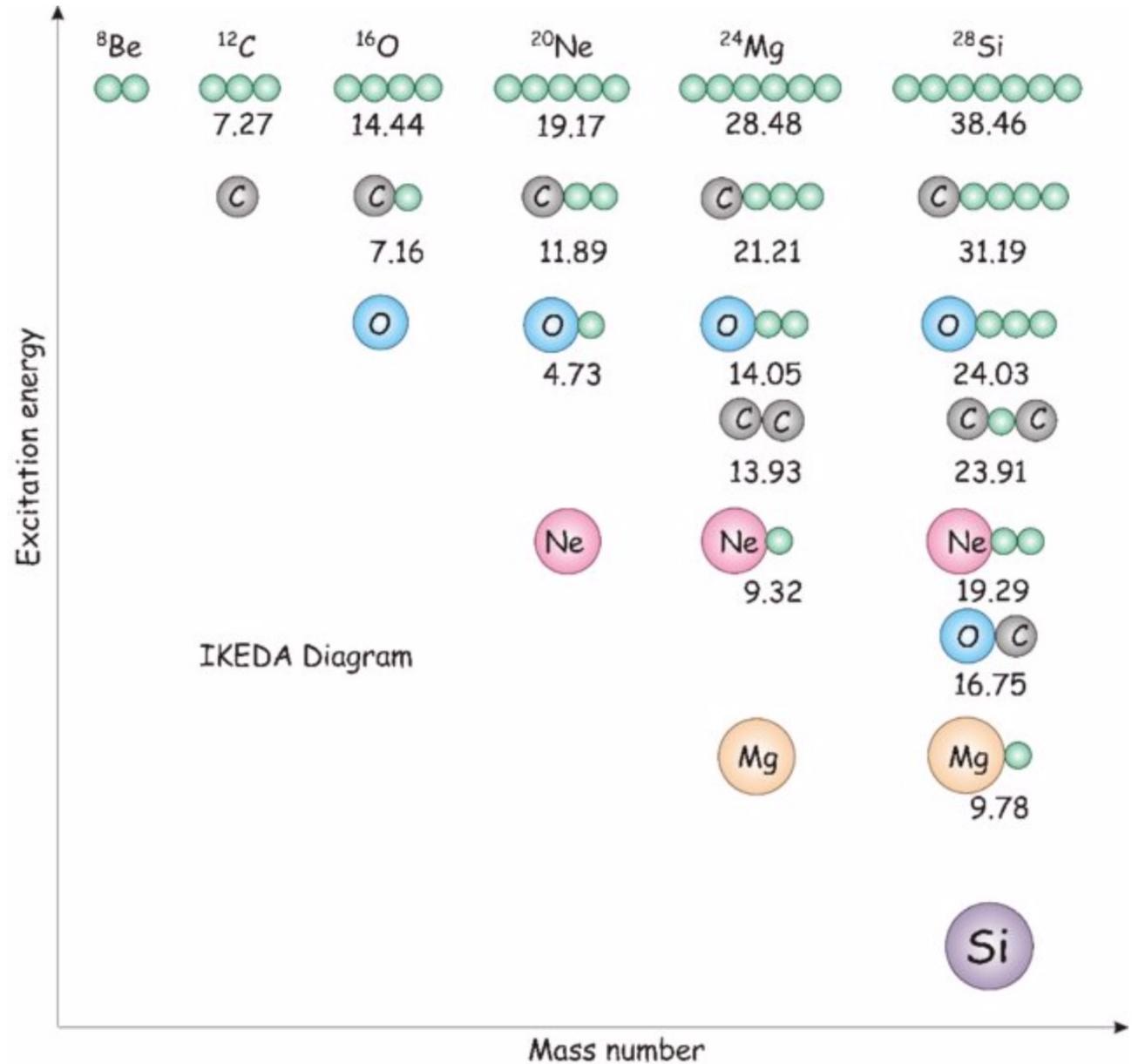
The world's first tandem accelerator
Installed at Chalk River in 1959

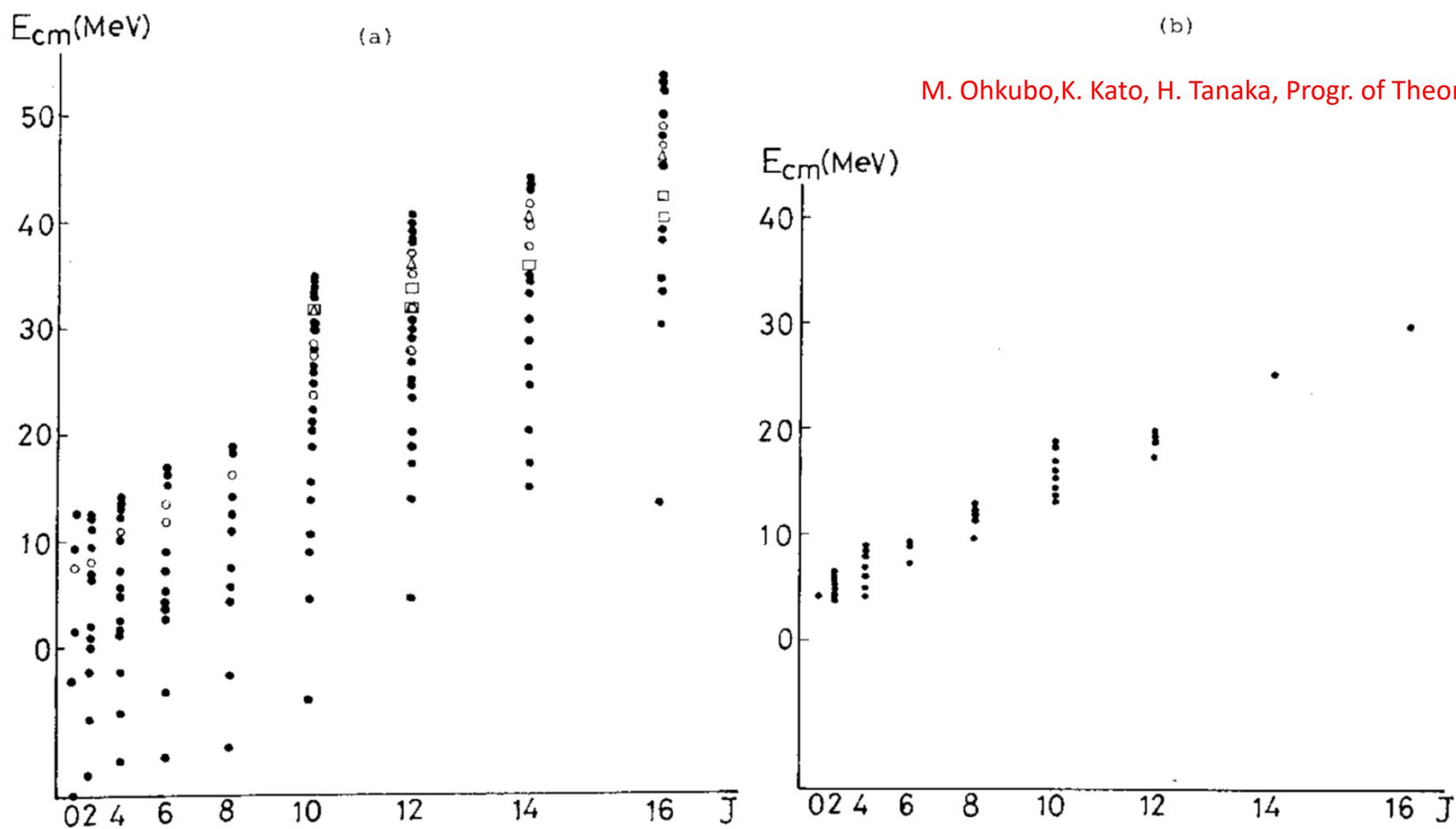


Molecular resonances in the $^{12}C+^{12}C$
fusion reaction

Ikeda diagram

The threshold energies for each configuration are given in MeV. The smallest, unlabelled clusters are alpha particles. Increasing excitation energy is required to form evermore complex cluster structures.





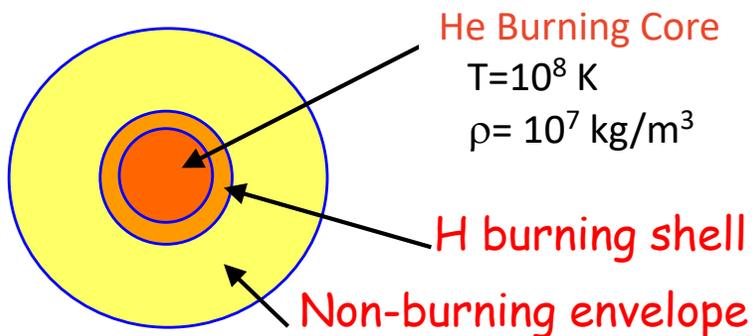
M. Ohkubo, K. Kato, H. Tanaka, *Progr. of Theor. Phys.* 67, 207 (1982)

Fig. 2. (a) Calculated energy levels and (b) experimental resonance energies^{1),2a)~2c)} of the $^{12}\text{C}+^{12}\text{C}$ system. Marked states with open circle, triangle and square have larger spectroscopic factors than 0.1 for elastic ($^{12}\text{C}(0^+)+^{12}\text{C}(0^+)$), aligned single excited ($^{12}\text{C}(2^+)+^{12}\text{C}(0^+)$) and aligned mutual excited ($^{12}\text{C}(2^+)+^{12}\text{C}(2^+)$) channels, respectively. The Coulomb interaction is switched off and N_{max} is taken to be 26.

C-burning

-Carbon burning: third most important phase in the evolution and nucleosynthesis of massive stars ($> 8 M_{\odot}$).

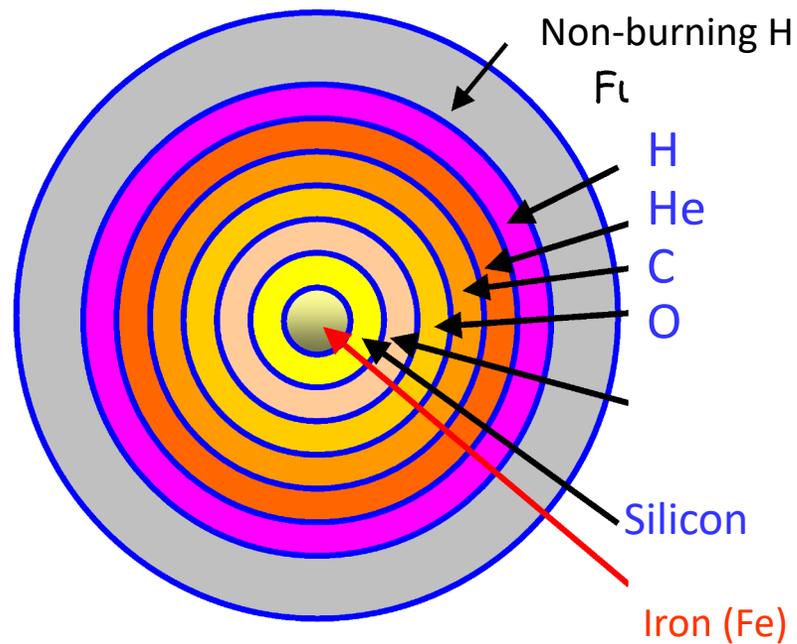
Starts like the sun:



But now, when He is exhausted in the core and the core collapses, it does get hot enough to burn carbon and oxygen.

The successive stages in the core are
 $H \rightarrow He$, gravity, $He \rightarrow C,O$, gravity,
 $\rightarrow C,O \rightarrow Mg, Si$, gravity, $Si \rightarrow Fe$.

The Stellar Onion



Carbon burning:

Relevant temperatures $T \sim 0.6-1.2$ GK and densities $> 3 \cdot 10^9$ kg/m³

More massive stars burn their nuclear fuel more quickly, since they have to offset greater gravitational forces to stay in (approximate) hydrostatic equilibrium. For example, a star of 25 solar masses burns hydrogen in the core for 10^7 years, helium for 10^6 years and carbon for only 10^3 years.

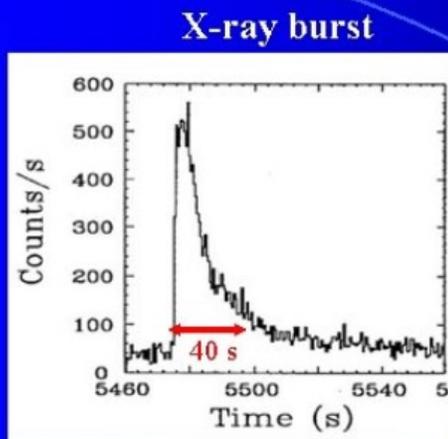
Carbon burning influences M_{up} minimum stellar mass required for hydrostatic carbon burning to occur. M_{up} is fundamental also for the evolution of supernova progenitors and the white dwarf luminosity functions.

C-burning

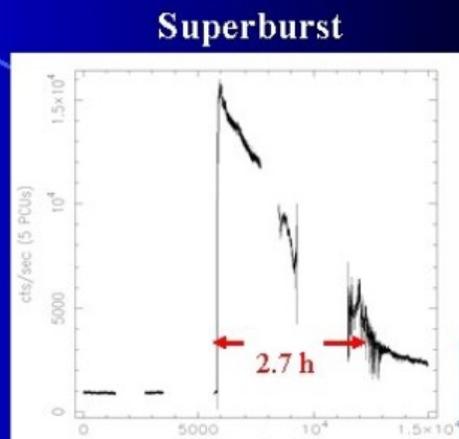
- Engine for superbursts from accreting neutron stars

Relevant temperatures $T \sim 0.4\text{-}0.7$ GK

Observational properties of X-ray bursts and superbursts



Lewin & al., Space Sci. Rev., 62, 223, 1993



Kuulkers, NuPhS, 132, 466, 2004

$$L_{\max} \cong 10^{38} \text{ ergs s}^{-1}$$

$$E_{\text{tot}} \cong 10^{39} \text{ ergs}$$

$$t_{\text{burst}} \cong 10\text{s} - \text{several min}$$

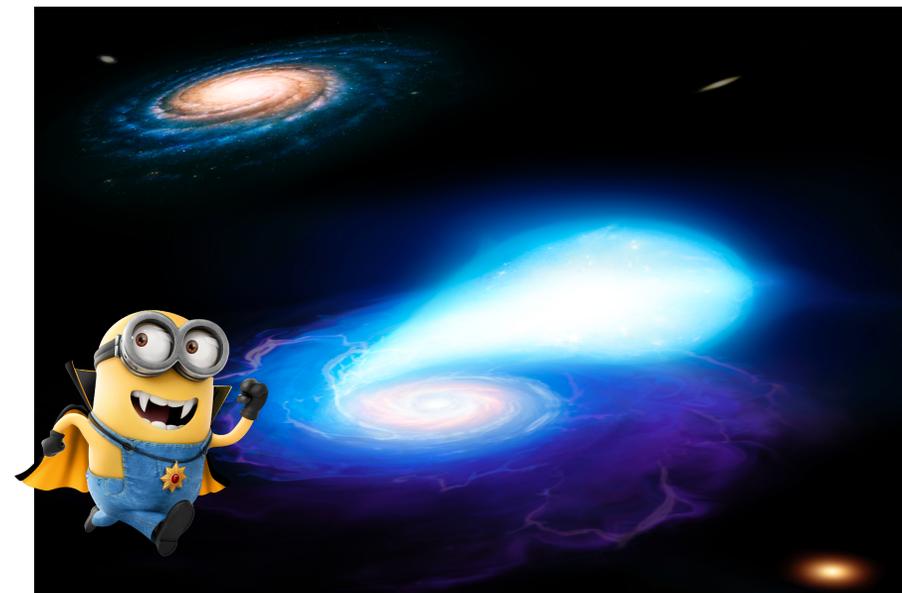
$$t_{\text{rec}} \cong 5\text{min} - \text{days}$$

$$L_{\max} \cong 10^{38} \text{ ergs s}^{-1}$$

$$E_{\text{tot}} \cong 10^{42} \text{ ergs}$$

$$t_{\text{burst}} \cong \text{several min} - \text{several hours}$$

$$t_{\text{rec}} \cong \text{years}$$



Source of superbursts: carbon burning in the outer crust ?

Key problem: with the standard $^{12}\text{C}+^{12}\text{C}$ rate from CF88, the crust temperature is too low to ignite the carbon fuel \rightarrow need to have resonances ...

C-burning

- ignition conditions of **Type Ia supernovae**

They are thought to be thermonuclear explosion of white dwarfs (WD)

Their progenitors are not well understood, but their fates depend on the rate of $^{12}\text{C}+^{12}\text{C}$ reaction. Indeed, they are interpreted as the consequence of explosive carbon burning ignited near the core of the white dwarf star in a binary system

Relevant numbers: (0.15-0.7 GK and $\rho \sim (2-5) 10^9 \text{ g/cm}^3$):

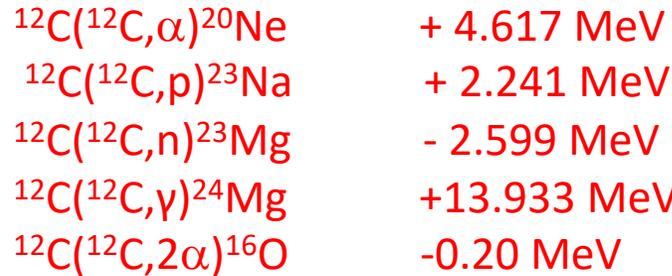
the C/O ratio influences the nucleosynthesis and, in turn, the resulting light curve



12C+12C fusion

Carbon burning mainly through $^{12}\text{C}+^{12}\text{C}$ fusion at E_{cm} from 1 to 3 MeV

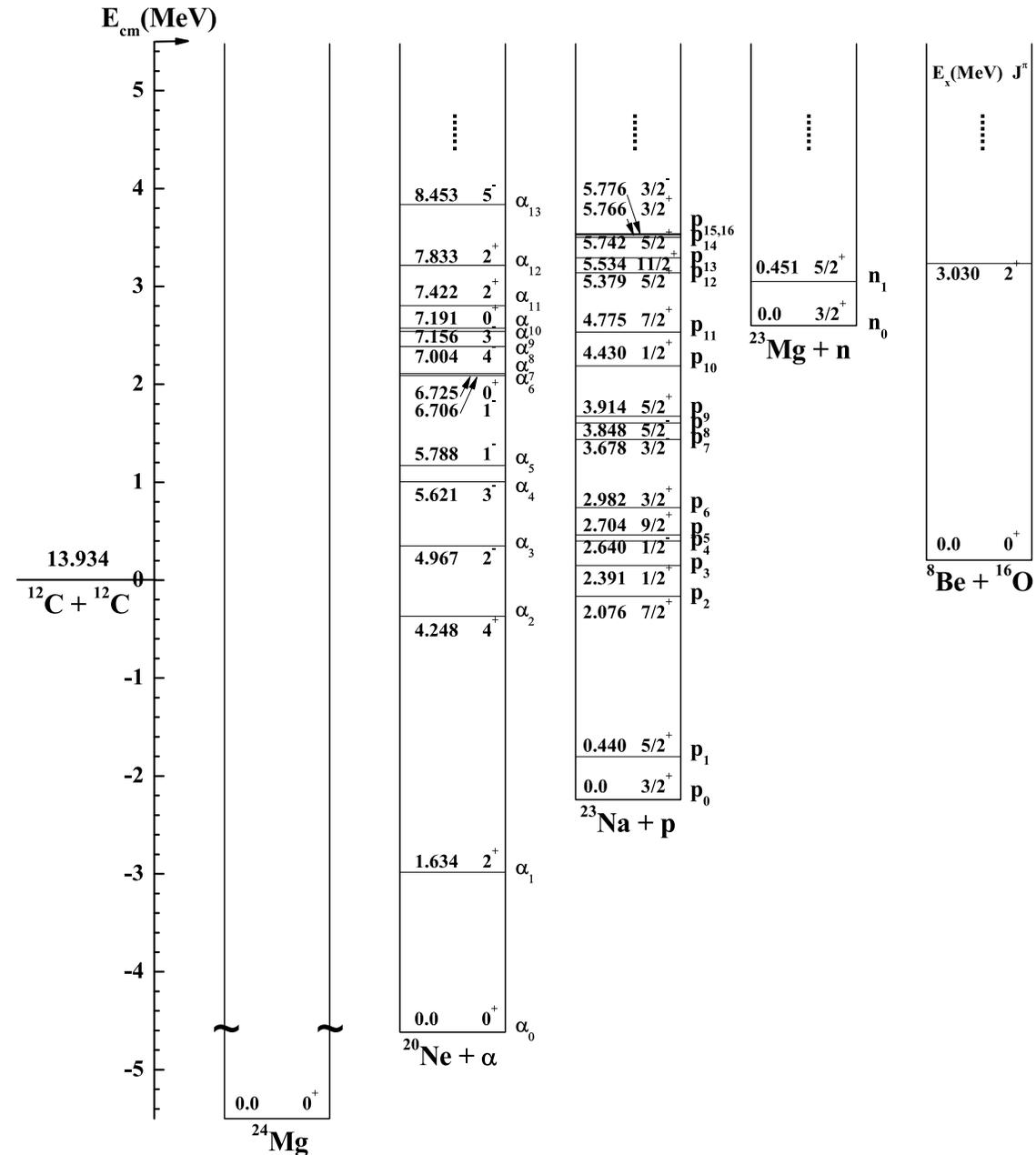
Principal reactions:



Considerable efforts to measure the $^{12}\text{C}+^{12}\text{C}$ cross section at astrophysical energies

M.G. Mazarakis & W.E. Stephensen, Phys. Rev. C 7 1280 (1973)
K. U. Kettner *et al.*, Phys. Rev. Lett. 38, 337 (1977)

H.W. Becker *et al.*, Z. Phys. A 303, 305 (1981)
L. Barron-Palos *et al.*, Nucl. Phys. A 779, 318 (2006)
E.F. Aguleira *et al.*, Phys. Rev. C 73, 064601 (2006)
T. Spillane *et al.*, Phys. Rev. C 73, 064601 (2006)
T. Spillane *et al.*, Phys. Rev. Lett. 98, 122501 (2007)
B. Bucher *et al.*, Phys. Rev. Lett. 114, 251102 (2015)
C.L. Jiang *et al.*, Phys. Rev. C 97 012801 (2018)
J. Zickefoose *et al.*, Phys. Rev. C 97, 065806 (2018)
A. Tumino *et al.*, Nature (2018)
G. Fruet *et al.* PRL, 124 192701 (2020)
W.P. Tan *et al.* PRL, 124 192702 (2020)



12C+12C: Status before 2010

Measurements: Detection of either **light charged particles** (Patterson, Mazarakis, Becker) or **characteristic γ -rays** (Aguilera, Kettner, Spillane).
With γ -rays no access to the ground states

Most of these measurements within the range $E_{\text{cm}} > 2.7$ MeV, limited by the target purity and beam-induced/cosmic rays backgrounds
Spillane: down to $E_{\text{cm}} = 2.14$ MeV, with a plastic veto detector, removing the target impurities and with a high intensity beam current.
Huge resonance at $E_{\text{cm}} = 2.14$ MeV.

$$S^*(E) = \sigma(E)E \exp\left(\frac{87.21}{\sqrt{E}} + 0.46E\right)$$

Extrapolations below 2 MeV:

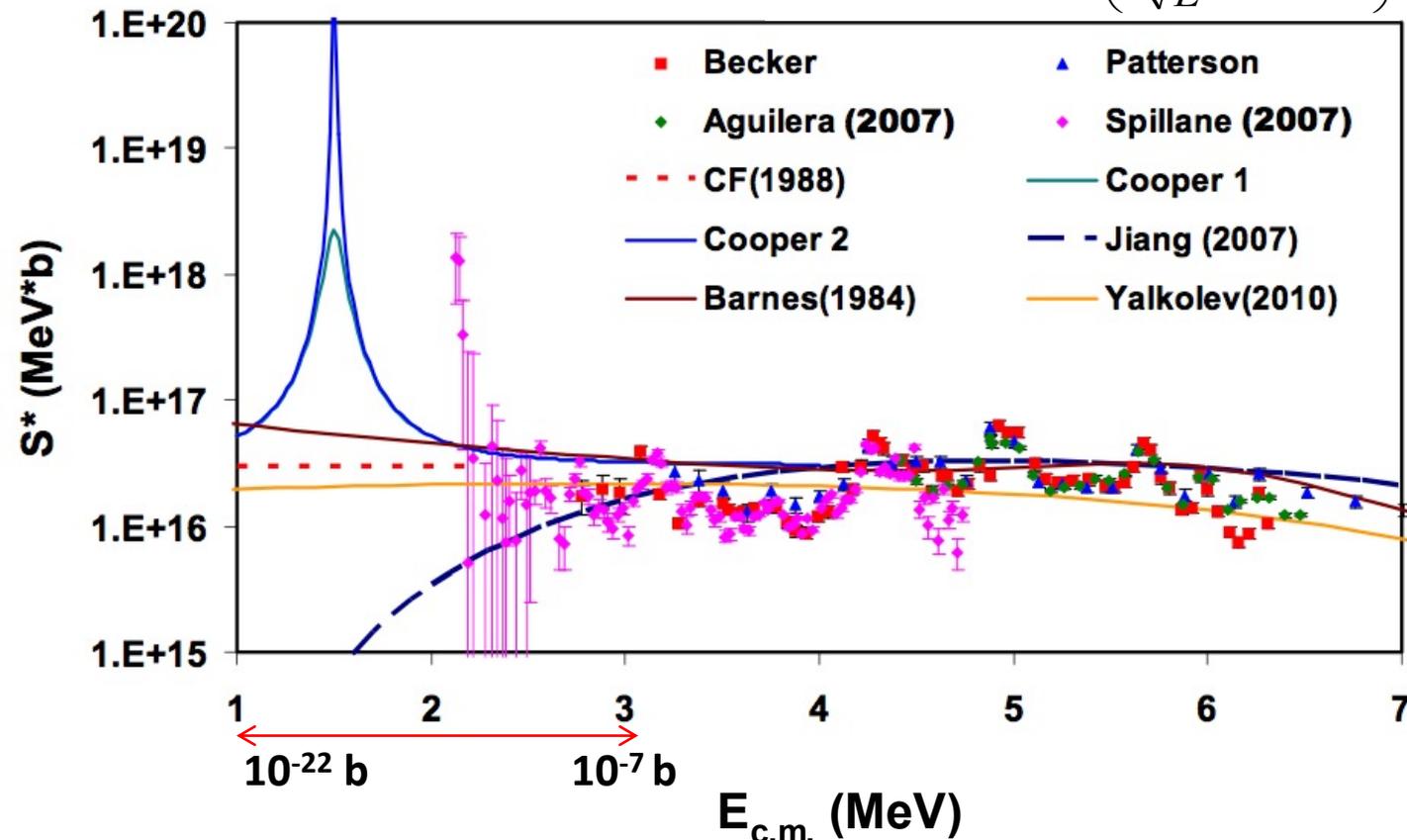
- without resonances, the reference one is CF88
- Hindrance (incompressibility of nuclear matter)
- with isolated resonances (Cooper ...)

difference by more

3 orders of magnitude



large uncertainties in astrophysical models of **stellar evolution** and **nucleosynthesis**



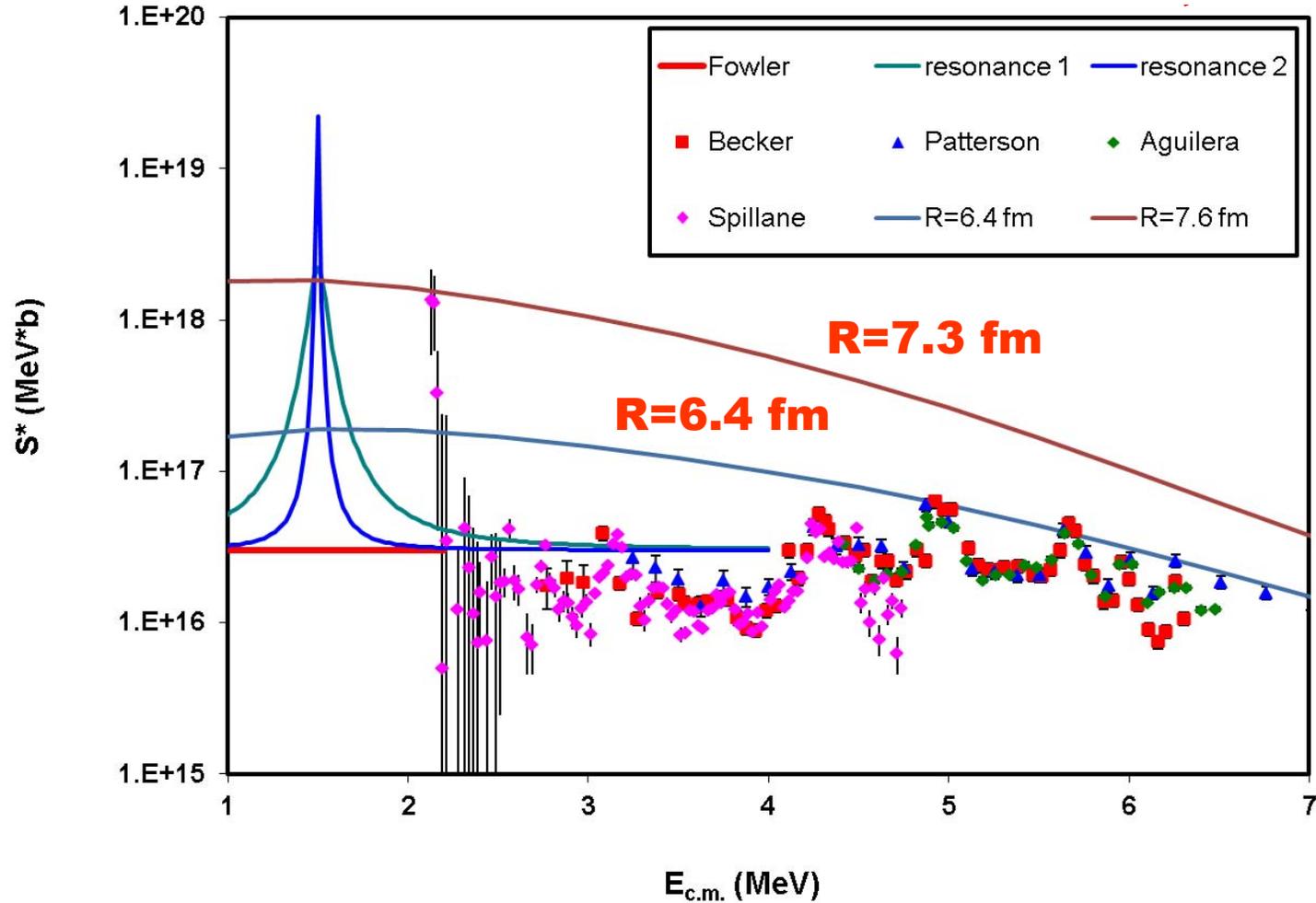
Other side studies for $^{12}\text{C}+^{12}\text{C}$ fusion

Upper limit for the $^{12}\text{C}+^{12}\text{C}$ fusion cross section comparing the cross sections for the three carbon isotope systems, $^{12}\text{C}+^{12}\text{C}$, $^{12}\text{C}+^{13}\text{C}$, and $^{13}\text{C}+^{13}\text{C}$, (M. Notani et al. PRC 85 (2012) 014607) A simple pattern for complicated resonances

Resonant structure smeared in the $^{12}\text{C}+^{13}\text{C}$ and $^{13}\text{C}+^{13}\text{C}$ systems, due to the much higher level density in their compound nuclei.

With the lowest energy point different upper limit (change in fusion barrier parameters)

No definite conclusion!



12C+12C: recent experiments

Jiang et al. 2018: down to $E_{c.m.} = 2.84 \text{ MeV}$ and 2.96 MeV for the p and α channels, respectively, using a sphere array of 100 Compton-suppressed Ge detectors in coincidence with silicon detectors.

Fruet et al. 2020: down to $E_{c.m.} = 2.16 \text{ MeV}$ using the particle- γ coincidence technique and thin C-target. Charged particles were detected using annular silicon strip detectors, while γ -ray detection was accomplished with an array of LaBr3(Ce) scintillators. Only the p_1 and α_1 channels. Total S^* factor reconstructed taking not observed branchings from the literature

Tan et al. 2020: down to $E_{c.m.} = 2.2 \text{ MeV}$ using the particle- γ coincidence technique and thick target. In particular, p and α s were detected using a silicon detector array, and γ -rays with HPGe detectors. Only the p_1 and α_1 channels. Total S^* factor reconstructed taking not observed branchings from the literature.

Tumino et al. 2018: THM measurement down to 0.8 MeV for the $p_{0,1}$ and $\alpha_{0,1}$ channels. Coincidence experiment using the $^{14}\text{N}+^{12}\text{C}$ reaction at 30 MeV of beam energy.

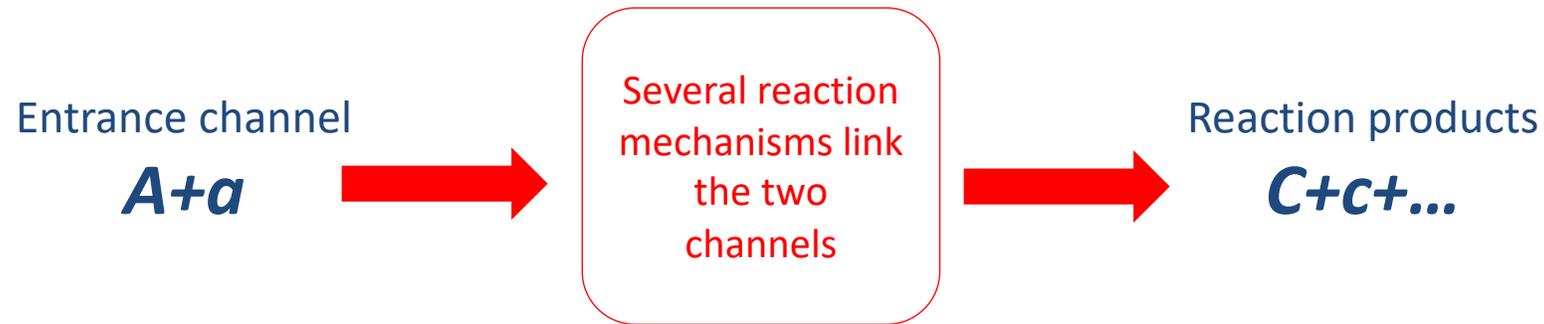
All direct measurements: **particle- γ coincidence technique** to further suppress the cosmic-ray background and some beam-induced background **but no access to the ground state transitions**

ground state transitions are crucial, as these channels contribute significantly at stellar energies

Indirect Methods for Nuclear Astrophysics

- to measure cross sections at never reached energies (no Coulomb suppression), where the **signal is below current detection sensitivity**
- to get independent information on U_e
- to overcome difficulties in producing the beam or the target (Radioactive ions, neutrons..)

Quite straightforward experiment, no Coulomb suppression, no electron screening but ...



The reaction theory is needed to select only one reaction mechanism. However, nowadays powerful techniques and observables for careful data analysis and theoretical investigation.

THM in short

Talk by Marco La Cognata this afternoon

Basic principle: relevant low-energy two-body σ from quasi-free contribution of an appropriate three-body reaction in quasi free kinematics



a: $x \oplus s$ clusters

Quasi free mechanism

✓ only $x - A$ interaction

✓ $s = \text{spectator } (p_s \sim 0)$

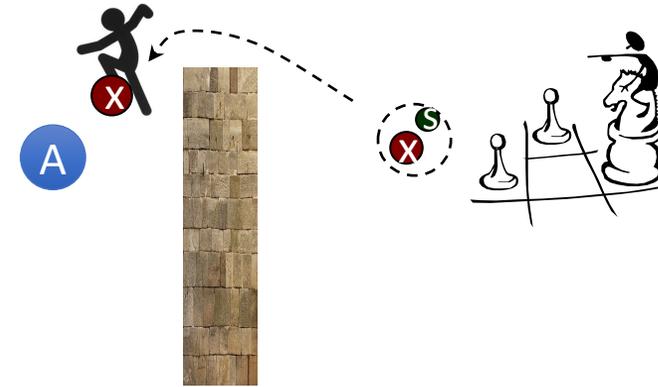
$$E_A > E_{\text{Coul}} \Rightarrow$$

NO Coulomb suppression

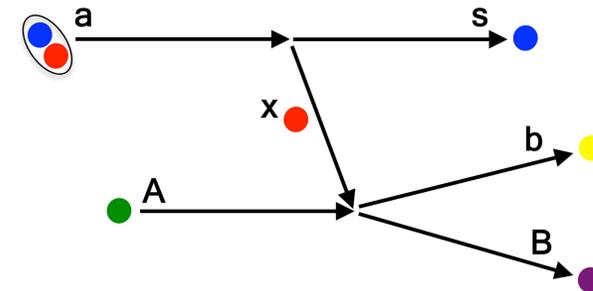
NO electron screening

$$\frac{d^3\sigma}{d\Omega_c d\Omega_c dE_c} \propto \text{KF} \cdot |\Phi(\mathbf{p}_s)|^2 \frac{d\sigma^{\text{off}}}{d\Omega}$$

need to normalize the two-body σ to direct data



Repulsion wall



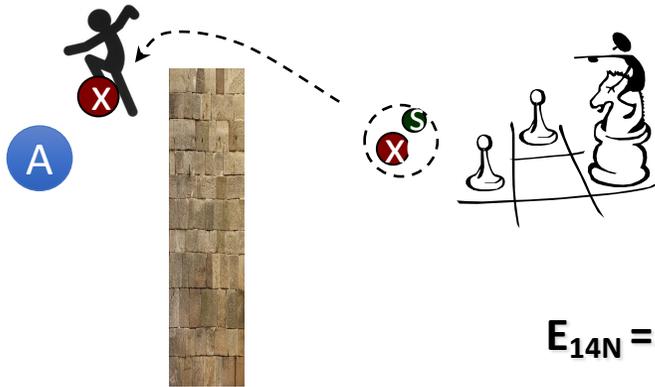
THM applied so far to more than 30 reactions, such as ${}^6\text{Li}(p,\alpha){}^3\text{He}$, ${}^7\text{Li}(p,\alpha)\alpha$, ${}^2\text{H}(d,p){}^3\text{H}$, ${}^2\text{H}(d,n){}^3\text{He}$, ${}^{10}\text{B}(p,\alpha){}^7\text{Be}$, ${}^{11}\text{B}(p,\alpha){}^8\text{Be}$, ${}^{17,18}\text{O}(p,\alpha){}^{14,15}\text{N}$, ${}^{13}\text{C}(\alpha,n){}^{16}\text{O}$, ${}^7\text{Be}(n,\alpha){}^4\text{He}$, ${}^{18}\text{F}(p,\alpha){}^{15}\text{O}$, ${}^{19}\text{F}(p,\alpha){}^{16}\text{O}$, ${}^{10}\text{B}(p,\alpha){}^7\text{Be}$, ${}^{11}\text{B}(p,\alpha){}^8\text{Be}$, ${}^{12}\text{C}({}^{12}\text{C},\alpha){}^{20}\text{Ne}$, ${}^{12}\text{C}({}^{12}\text{C},p){}^{23}\text{Na}$...

Our Experiment with the THM

$^{12}\text{C}(^{12}\text{C},\alpha)^{20}\text{Ne}$ and $^{12}\text{C}(^{12}\text{C},p)^{23}\text{Na}$ reactions via the Trojan Horse Method applied to the $^{12}\text{C}(^{14}\text{N},\alpha)^{20}\text{Ne}$ and $^{12}\text{C}(^{14}\text{N},p)^{23}\text{Na}$ three-body processes

^2H from the ^{14}N as spectators

Observation of ^{12}C cluster transfer in the $^{12}\text{C}(^{14}\text{N},d)^{24}\text{Mg}^*$ reaction (R.H. Zurmühle et al. PRC 49(1994) 5)



Repulsion wall

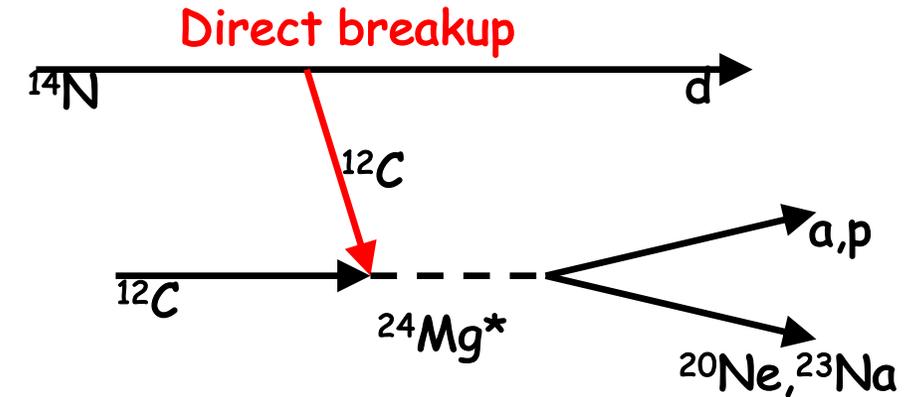
QUASI-FREE MECHANISM

- ✓ only $^{12}\text{C} - ^{12}\text{C}$ interaction
- ✓ $d = \text{spectator}$

$$E_{^{14}\text{N}} = 30 \text{ MeV} > E_{\text{Coul}}$$

- ⇒
- NO Coulomb barrier in the entrance channel
 - NO electron screening

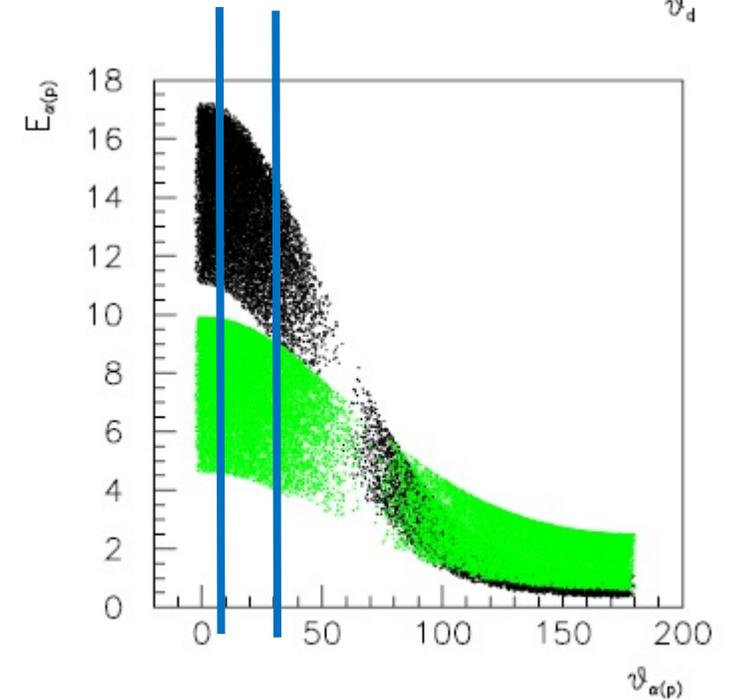
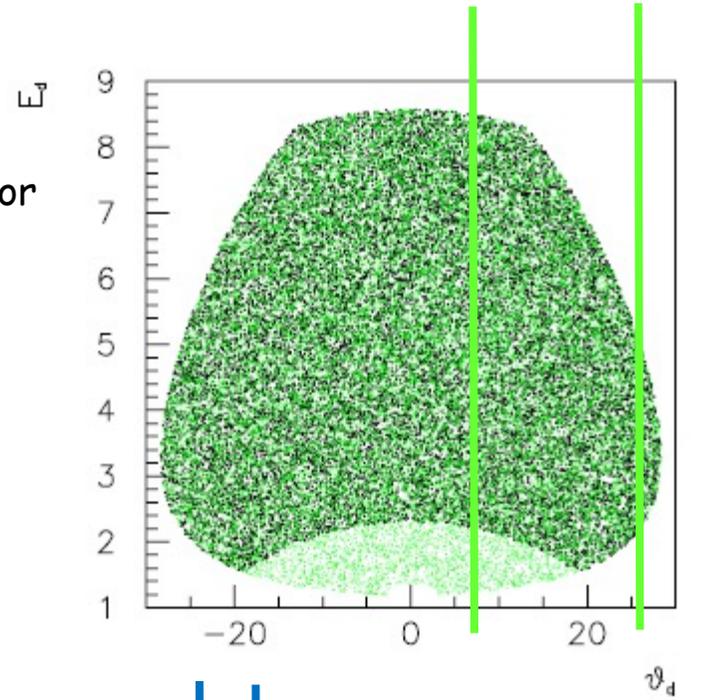
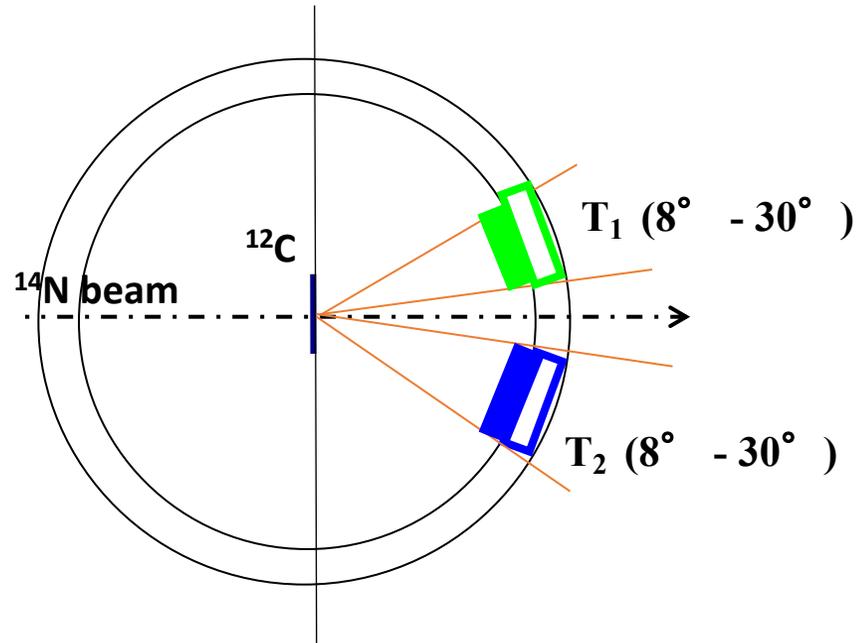
$$E_{\text{QF}} = E_{^{14}\text{N}} \frac{m_{^{12}\text{C}}}{m_{^{14}\text{N}}} \cdot \frac{m_{^{12}\text{C}}}{m_{^{12}\text{C}} + m_{^{12}\text{C}}} = 10.27 \text{ MeV}$$



The $^{14}\text{N}+^{12}\text{C}$ experiment at LNS

$E_{^{14}\text{N}}=30\text{ MeV}$

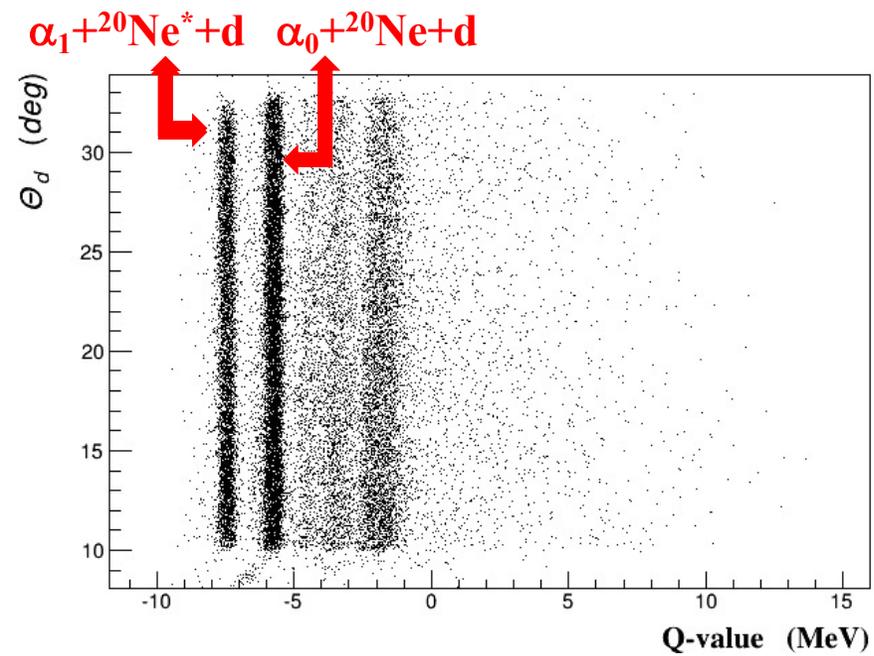
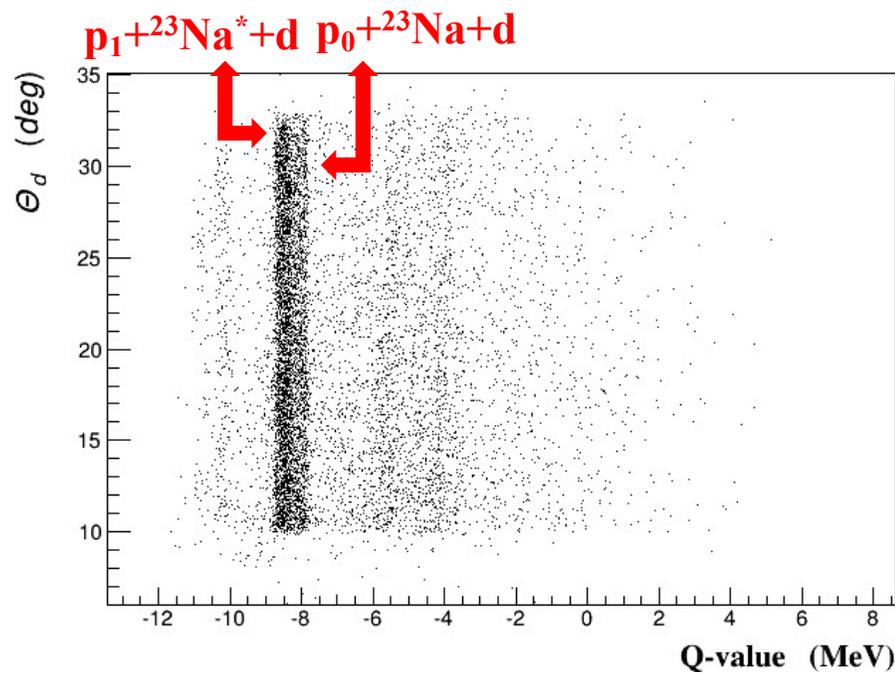
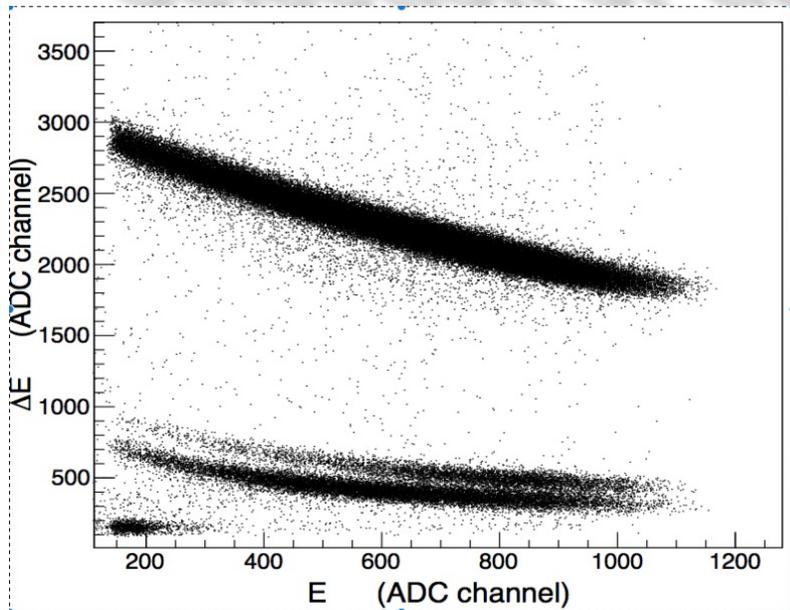
Particle identification supplied by silicon telescopes: $38\ \mu\text{m}$ silicon detector as ΔE - and $1000\ \mu\text{m}$ Position Sensitive Detector (PSD) as E-detector



$^{20}\text{Ne}+\alpha+d$ and $^{23}\text{Na}+p+d$ reaction channels reconstructed when detecting the ejectile of the two-body reactions (either α (black dots) or p (green dots)) in coincidence with the spectator d particle.

No detection of ^{20}Ne or ^{23}Na quite low energy \rightarrow too high detection threshold

Selection of the 3-body channels



PWIA approach to the THM

In the restricted phase space region where the quasi free kinematics holds true

$$\frac{d^3\sigma}{d\Omega_B d\Omega_b dE_B} \propto \text{KF} |\Phi(p_{xs})|^2 \left[\frac{d^2\sigma_{xA \rightarrow bB}}{dE_{xA} d\Omega} \right]^{\text{HOES}}$$

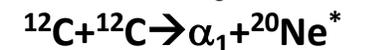
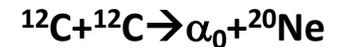
kinematical factor
momentum distribution of s inside a
Nuclear cross section for the A+x→C+c reaction

$$\frac{d^2\sigma_{xA \rightarrow c'}}{dE_{xA} d\Omega_s} = \text{NF} \sum_i (2J_i + 1) \left| \sqrt{\frac{k_{c'}}{\mu_{c'}}} \frac{\sqrt{2P_{c'}} M_i(p_{xA} R_{xA}) \gamma_{xA}^i \gamma_{c'}^i}{D_i(E_{xA})} \right|^2$$

Important: the same reduced widths appear in the THM and in the on-energy-shell cross-sections, so the ones extracted from THM data can be used to determine the direct $S(E)$ factor, without HOES effects.

From the modified R-matrix approach assuming non-interfering resonances

R-matrix fits on all channels at the same time in the full energy range of interest



but No absolute value of the cross section → normalization to direct data available at higher energies

Selection of the quasi-free mechanism

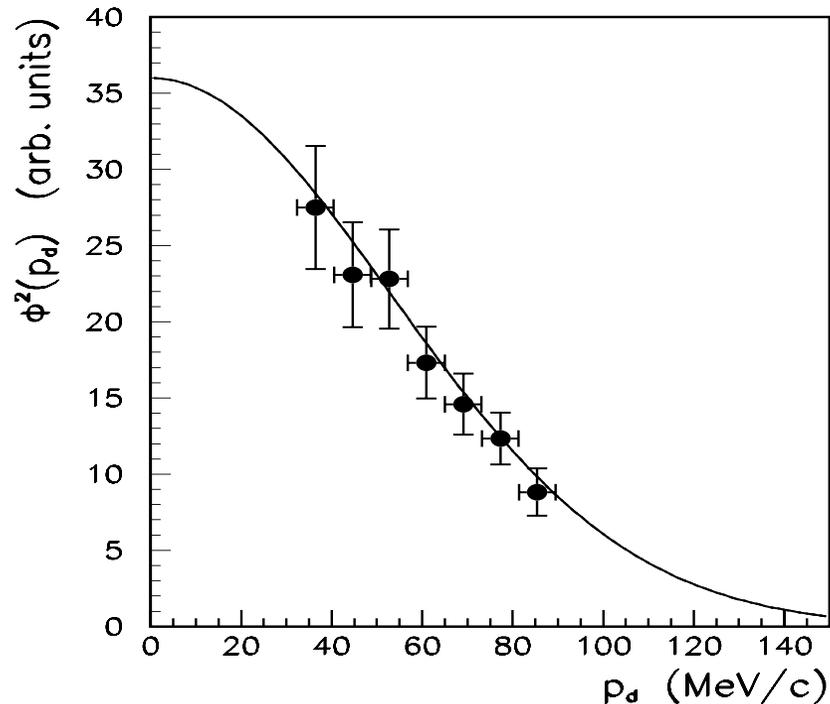
Comparison between the experimental momentum distribution and the theoretical one

$$|\Phi(\vec{p}_d)|^2 \propto \frac{\frac{d^3\sigma}{d\Omega_d d\Omega_{p,\alpha} dE_d}}{(KF) \left(\frac{d\sigma_{^{12}\text{C}^{12}\text{C}}}{d\Omega} \right)^N}$$

On-the-energy-shell bound state wave number ((see I.S. Shapiro, Soviet Physics Uspekhi Vol. 10, n. 4 (1968) and earlier works): $(2\mu_{d^{12}\text{C}}B_{d^{12}\text{C}})^{1/2}=181 \text{ MeV}/c$.

Staying within this value is the condition for the QF mechanism to be dominant

Solid line: momentum distribution of d inside ^{14}N from the Wood-Saxon ^{12}C -d bound state potential with standard geometrical parameters $r_0=1.25 \text{ fm}$, $a=0.65 \text{ fm}$ and $V_0=54.427 \text{ MeV}$



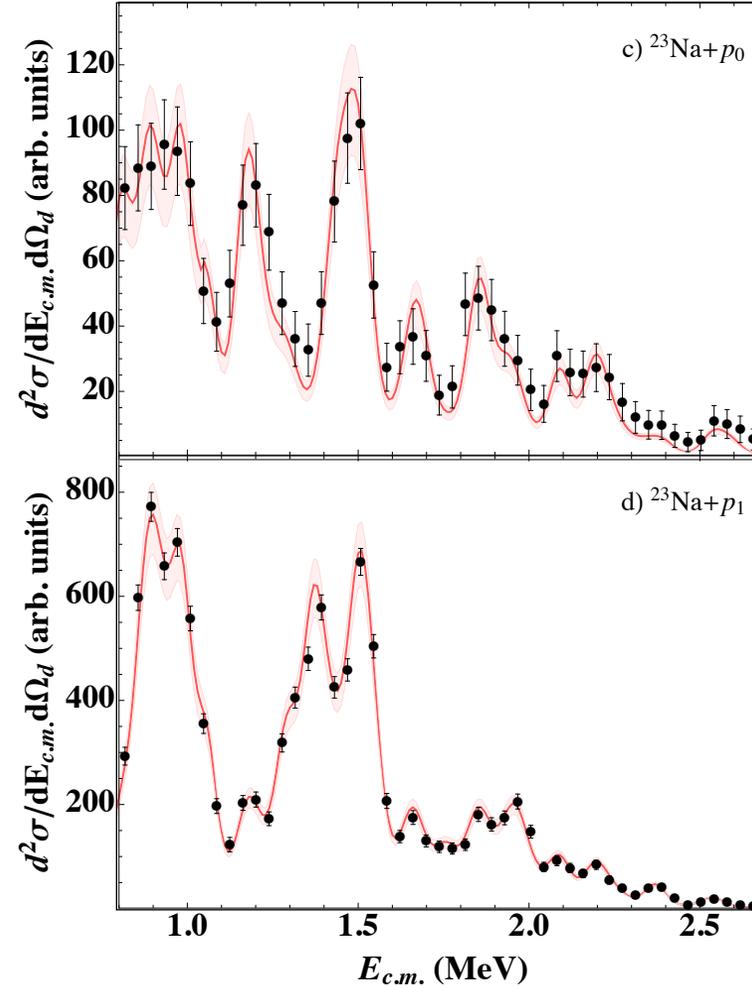
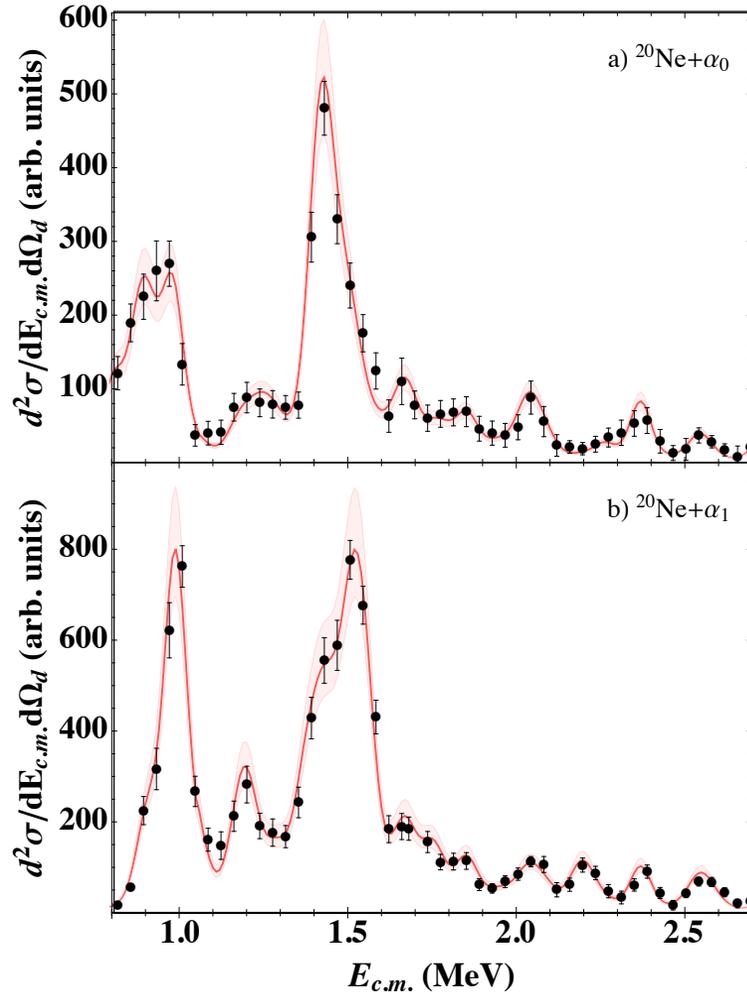
Plane Waves reliable also because:

- $p_d < (2\mu_{d^{12}\text{C}}B_{d^{12}\text{C}})^{1/2}=181 \text{ MeV}/c \rightarrow$ Proved that the shape of the momentum distribution is insensitive to the theoretical framework used for its derivation (agreement between PWA and DWBA)
- the ^{14}N beam energy of 30 MeV corresponds to a quite high momentum transfer $q_t=500 \text{ MeV}/c$ giving an associate de Broglie wavelength of 0.4 fm ($< 3 \text{ fm}=^{12}\text{C}+d$)

Extraction of the two-body cross section

$$\frac{d\sigma_3}{dE} = \text{KF} |\varphi(p_s)|^2 \frac{d\sigma}{dE}$$

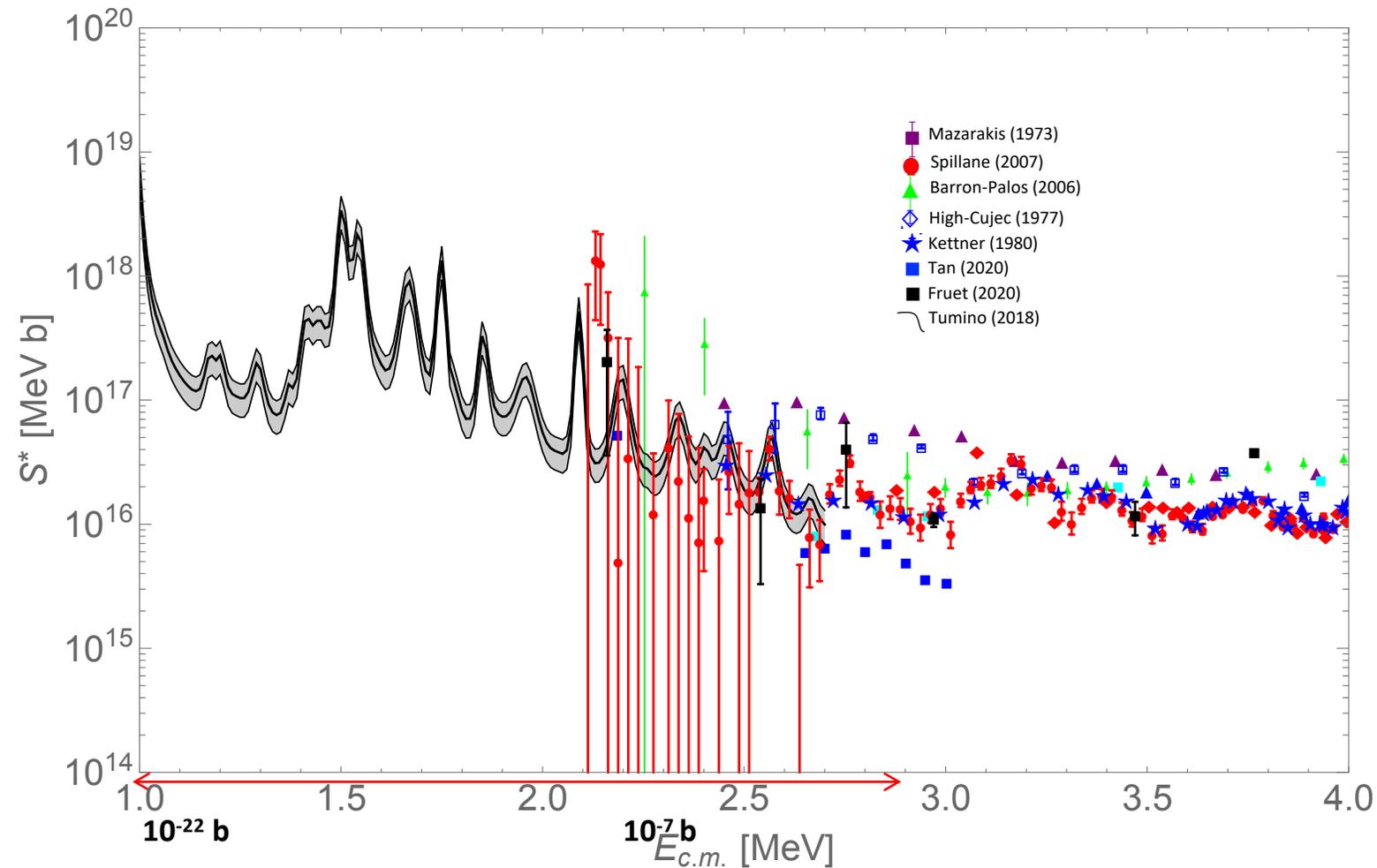
A. Tumino et al., Nature 557, 687 (2018)



Red lines and bands: R-matrix fits for all channels at the same time

Reduced widths for known levels are used as free parameters to reproduce their total and partial widths as in Abegg & Davis, PRC 1991 → → →

$^{12}\text{C}+^{12}\text{C}$ comprehensive figure



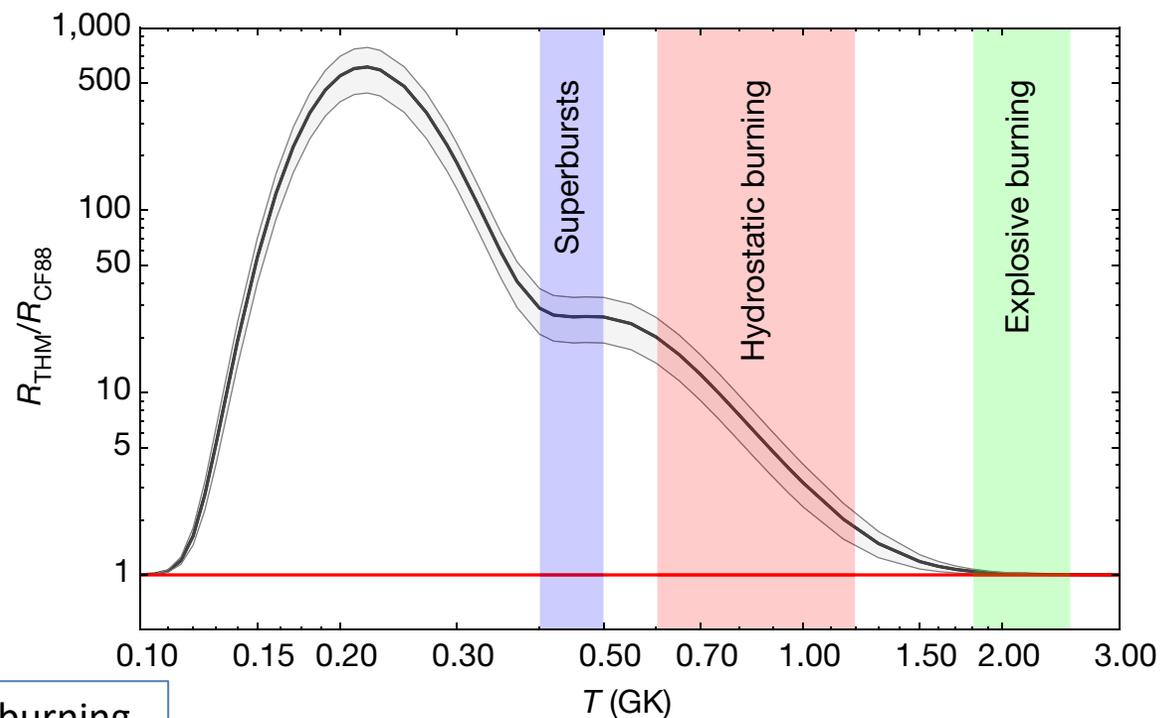
Next step:

- direct data below 2 MeV (LUNA MV, Stella collaboration ...)
- improve the normalization of THM data to direct ones with larger overlap

An increase in the $^{12}\text{C} + ^{12}\text{C}$ fusion rate from resonances at astrophysical energies

A. Tumino^{1,2*}, C. Spitaleri^{2,3}, M. La Cognata², S. Cherubini^{2,3}, G. L. Guardo^{2,4}, M. Gulino^{1,2}, S. Hayakawa^{2,5}, I. Indelicato², L. Lamia^{2,3}, H. Petrascu⁴, R. G. Pizzone², S. M. R. Puglia², G. G. Rapisarda², S. Romano^{2,3}, M. L. Sergi², R. Sparta² & L. Trache⁴

$^{12}\text{C} + ^{12}\text{C}$ Reaction Rate



Color shadings mark typical regions for C-burning

Compared to CF88, the present rate increases from a factor of 1.18 at 1.2 GK to a factor of more than 25 at 0.5 GK

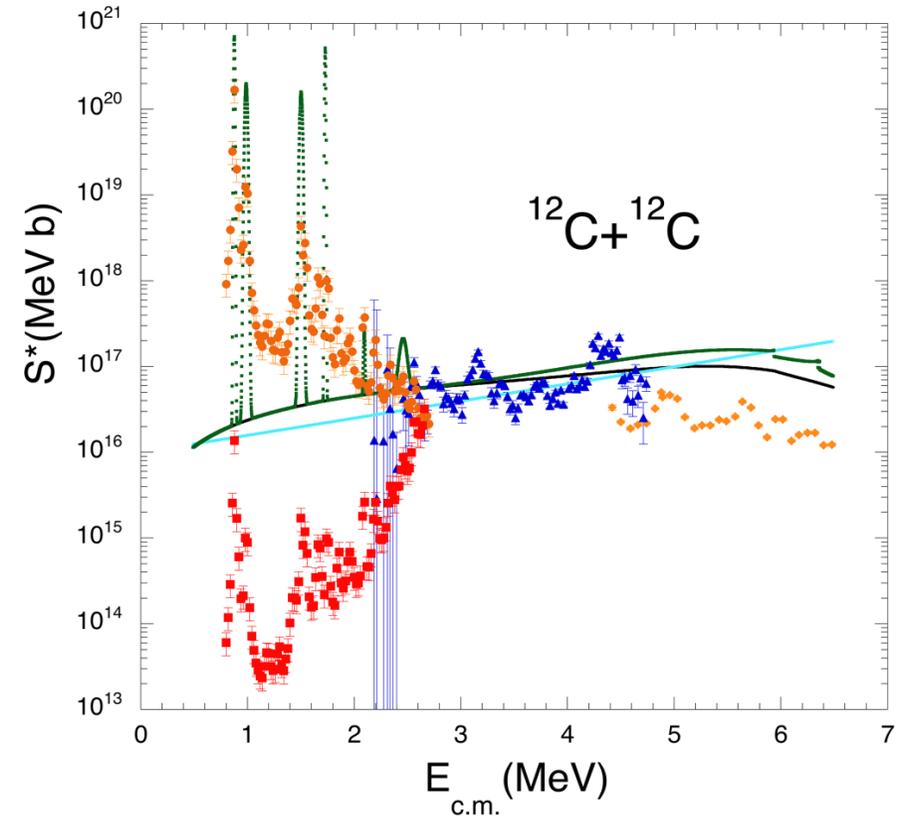
Recent theoretical developments to investigate the $^{12}\text{C}+^{12}\text{C}$ fusion:

Debate around THM results:

theory calculations based on DWBA (Mukhamedzhanov et al., PRC 2019): large corrections (red squares) to the initially reported S-factors. However, several points are obscure, such as:

- the numerical stability of the calculations involving a transfer to the continuum is not guaranteed and needs a more critical examination.
- $^{12}\text{C}+^{12}\text{C}$ unusual potential parameters: extremely large diffuseness and radius, bin functions to reproduce resonances with an unphysically large number of nodes ...

theory calculations using the Feynman path-integral method (Bonasera and Natowitz PRC(R) 2020) and including some $l=0$ resonances, lead to S-factor values (green color) in agreement with results from the THM experiment (orange circles), highlighting the role of resonances



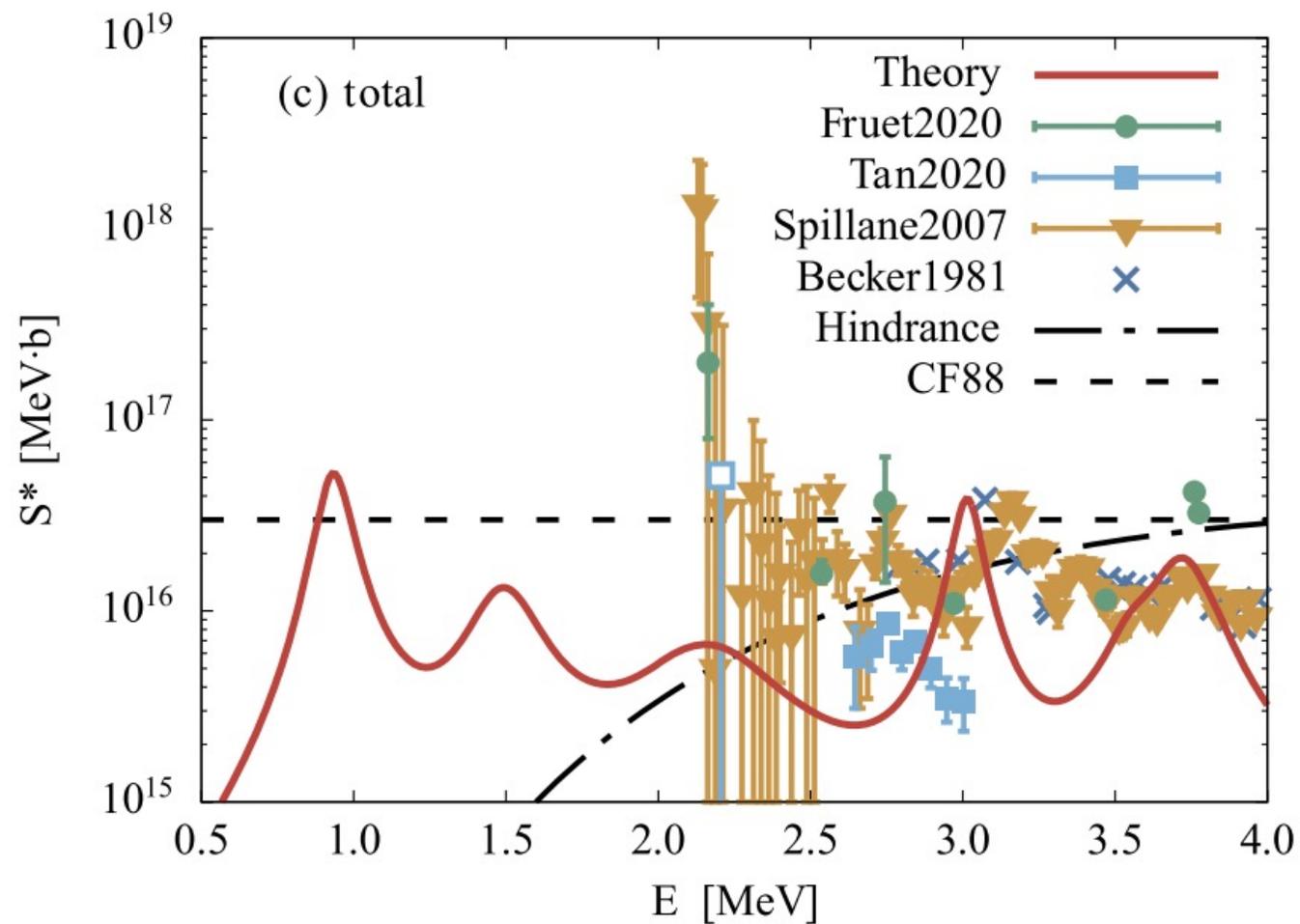
New DWBA calculations to be published soon

Possible experiment with $^{13}\text{C}+^{12}\text{C}$, but ^{13}C not an easy TH nucleus ($l=1$ intercluster motion)

Recently, the AMD provided a quantitative description of the low-energy resonances by handling the channel coupling and nuclear rotation without adjustable parameters. It successfully described the gross structure of the main observed resonances above and below the Gamow window.

The calculation suggested no low-energy suppression of the S^* -factor

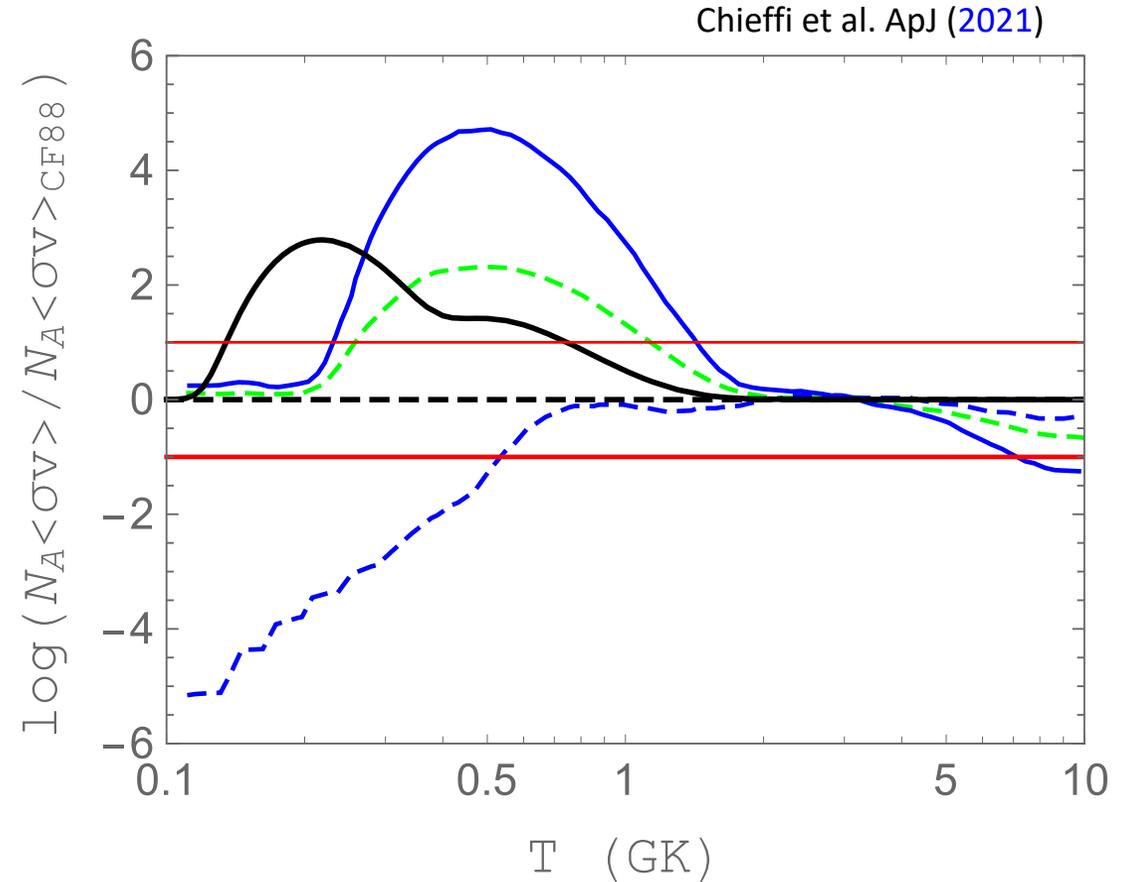
Y. Taniguchi & M. Kimura, PLB 823 136790 (2021)



Comparison between THM rate and recent assumptions exploring how even a single low-lying resonance can dramatically impact the evolution and nucleosynthesis of massive stars. Rates normalized to CF88:

- THM $^{12}\text{C} + ^{12}\text{C}$ reaction rate from Tumino et al. (2018) (black solid line)
- CU rate (blue solid line) from Bennett et al. (2012) and Pignatari et al. ApJ (2013), 50,000 times higher than CF88 at 0.5 GK
- CI rate (green dashed line) from Bennett et al. ApJ (2012), representing the geometric mean of CF88 and CU
- CL rate (blue dashed line) from Pignatari et al. (2013), 20 times lower than CF88 at 0.5 GK

CF88t10 and CF88d10 rates (red thinner and thicker lines) from Pignatari et al. (2013), a factor of 10 (CF88T10) and 0.1 (CF88d10) with respect to CF88



None of the explorations are confirmed by the THM rate that experiences a smoother increase than CU and CI, crossing CF88T10 around 0.75 GK, while reaching a much lower value than CU around 0.5 GK. Below 0.4 GK the THM rate shows a further increase by up to a factor of ~ 800 owing to the lowest-energy resonances occurring at center-of-mass energies around 1 MeV. Within a comparison with CF88, in the temperature range of interest for the current calculations, the increasing factor of the THM rate varies between ~ 25 at $T=0.5\text{GK}$ and ~ 3 at $T=1\text{GK}$.

Some recent implications from the new $^{12}\text{C}+^{12}\text{C}$ rate

- Impacts of the New Carbon Fusion Cross Sections on Type Ia Supernovae (K. Mori et al. MNRAS 2018)

Partial solution of the Neutron star birthrate problem:

The **NS birthrate** has been estimated to be $10.8^{+7.0}_{-5.0}$ NSs/century, while the **CCSN rate** is estimated to be 1.9 ± 1.1 SNe/century from measurements of γ -ray from ^{26}Al (Diehl et al. 2006; Keane & Kramer 2008), suggesting that the origin of NSs is supplemented by the so called Accretion Induced Collapse path of the WD mergers.

The **enhanced reaction** rate results in a lower ignition temperature, leading to a **higher probability of finding WD-WD mergers reaching the Accretion Induced Collapse**. This could increase the birthrate of the Galactic Neutron stars making the fraction of the WD mergers in the progenitors of type Ia SNe smaller.

To be continued ... need to investigate the contribution of the DD scenario to SNe Ia, still largely subject to observational errors.

Some recent implications from the new $^{12}\text{C}+^{12}\text{C}$ rate

New investigation of the dependence of the compactness on the initial mass:

Compactness parameter ξ
(O' Connor & Ott 2011, ApJ 730,70)

$$\xi_i = \frac{M_i(M_\odot)}{R_i(10^3 \text{ km})} \quad \text{Best value} \rightarrow i=2.5 M_\odot$$

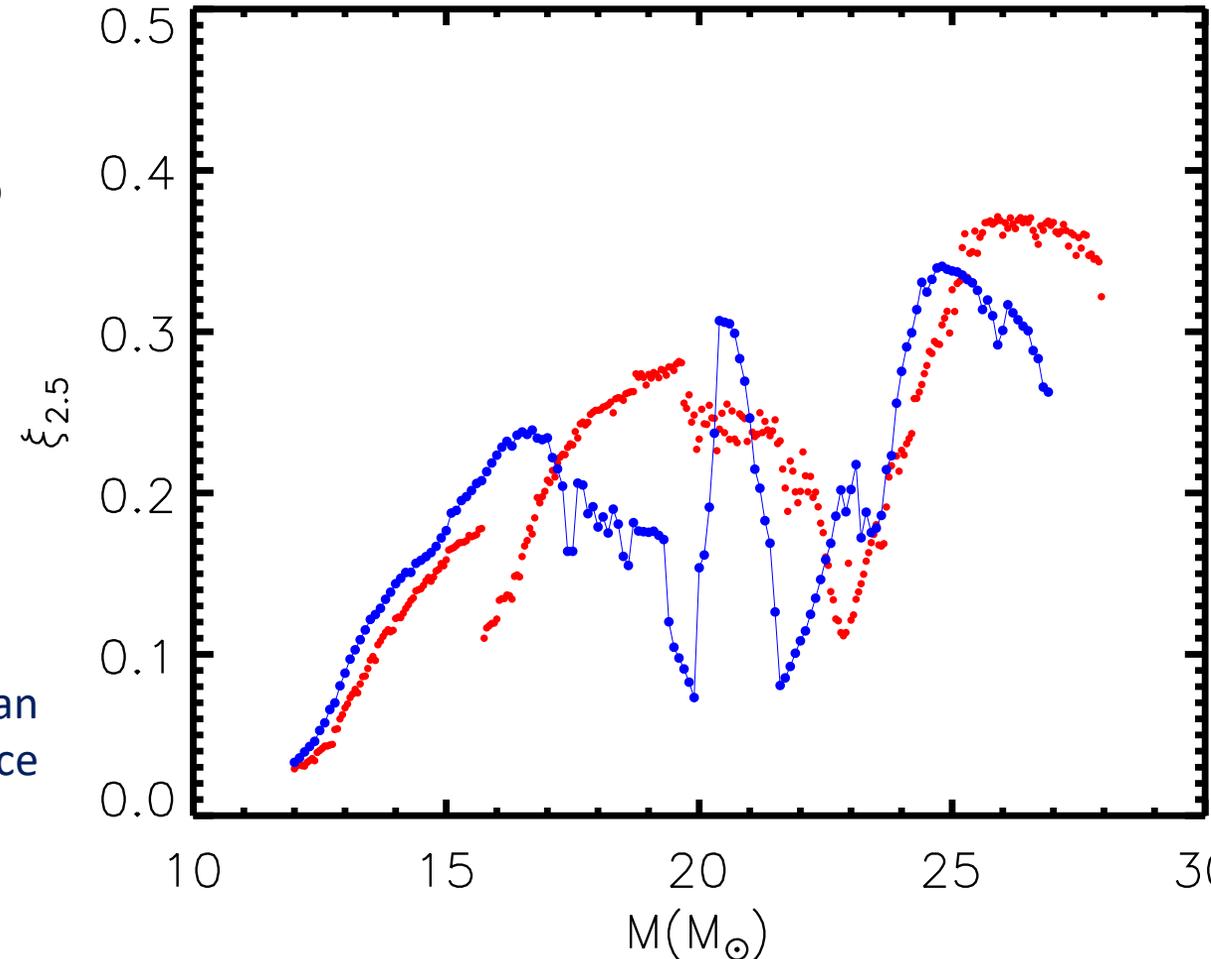
Scaling of the compactness of a star with the initial mass for two different choices of the $^{12}\text{C}+^{12}\text{C}$ nuclear cross section:

red dots \rightarrow CF88
blue dots \rightarrow THM

It is quite evident that the adopted nuclear cross section plays an important role in determining the final compactness of a star and hence its final fate: explosion or collapse.

Remarkable lower compactness in some mass intervals, like between 17 and 20 M_\odot , and 21 and 23 M_\odot , and above 25 M_\odot . It would be interesting to follow with a 3D code what happens to the explosion ...

(A. Chieffi et al. ApJ 2021)



To be continued ... a lot of experimental and theoretical activity in the field

Thank you!